CLAIM SUMMARY / DETERMINATION FORM

Date : 12/16/2010 Claim Number : 910150-0001

Claimant : State of Connecticut

Type of Claimant : State

Type of Claim : Removal Costs

Claim Manager

Amount Requested : \$8,490.10

FACTS:

Oil Spill Incident: On February 22, 2009, the Connecticut Department of Environmental Protection (CT DEP) responded to a call from the West Hartford Fire Department concerning a release of red oil in a brook along the parking lot of the University of Connecticut, West Hartford campus. The brook eventually empties into the Connecticut River; a navigable waterway of the U.S. Emergency Response Coordinator (ERC) contacted Supervisory Emergency Response Coordinator (SERC) who authorized the retaining of Connecticut Tank Removal (CTR) for the cleanup of the spill. An estimated 50-100 gallons of heating oil was removed from the brook.

Description of Removal Activities for this claimant: CT DEP ERC conducted initial response and then contracted the cleanup through CTR. Invoices include personnel, equipment (vehicles), contractor rate schedule with the Claimant, and disposal costs and documentation.

The Claim: On September 29, 2010, CT DEP presented a removal cost claim to the National Pollution Funds Center (NPFC) for reimbursement of their uncompensated removal costs in the amount of \$8,490.10.

CT DEP is claiming CTR personnel expenses of \$2,956.25, equipment expenses of \$1,656.50, material costs of \$1,714.00, Baron Lab costs of \$62.00, and United Industrial Services disposal costs of \$2,101.35.

<u>APPLICABLE LAW</u>:

"Oil" is defined in relevant part, at 33 USC § 2701(23), to mean "oil of any kind or in any form, including petroleum, fuel oil, sludge, oil refuse, and oil mixed with wastes other than dredged spoil".

The Oil Spill Liability Trust Fund (OSLTF), which is administered by the NPFC, is available, pursuant to 33 USC §§ 2712(a)(4) and 2713 and the OSLTF claims adjudication regulations at 33 CFR Part 136, to pay claims for uncompensated removal costs that are determined to be consistent with the National Contingency Plan and uncompensated damages. Removal costs are defined as "the costs of removal that are incurred after a discharge of oil has occurred or, in any case in which there is a

substantial threat of a discharge of oil, the costs to prevent, minimize, or mitigate oil pollution from an incident".

Under 33 USC §2713(b)(2) and 33 CFR 136.103(d) no claim against the OSLTF may be approved or certified for payment during the pendency of an action by the claimant in court to recover the same costs that are the subject of the claim. See also, 33 USC §2713(c) and 33 CFR 136.103(c)(2) [claimant election].

Under 33 CFR 136.105(a) and 136.105(e)(6), the claimant bears the burden of providing to the NPFC, all evidence, information, and documentation deemed necessary by the Director, NPFC, to support the claim.

Under 33 CFR 136.105(b) each claim must be in writing, for a sum certain for each category of uncompensated damages or removal costs resulting from an incident. In addition, under 33 CFR 136, the claimant bears the burden to prove the removal actions were reasonable in response to the scope of the oil spill incident, and the NPFC has the authority and responsibility to perform a reasonableness determination. Specifically, under 33 CFR 136.203, "a claimant must establish -

- (a) That the actions taken were necessary to prevent, minimize, or mitigate the effects of the incident;
- (b) That the removal costs were incurred as a result of these actions;
- (c) That the actions taken were determined by the FOSC to be consistent with the National Contingency Plan or were directed by the FOSC."

Under 33 CFR 136.205 "the amount of compensation allowable is the total of uncompensated *reasonable* removal costs of actions taken that were determined by the FOSC to be consistent with the National Contingency Plan or were directed by the FOSC. Except in exceptional circumstances, removal *activities* for which costs are being claimed must have been coordinated with the FOSC." [Emphasis added].

DETERMINATION OF LOSS:

A. Overview:

- 1. USEPA OSC Sherrin provided after --the-fact FOSC coordination.
- 2. The incident involved the discharge of "oil" as defined in OPA 90, 33 U.S.C. § 2701(23), to navigable waters.
- 3. In accordance with 33 CFR § 136.105(e)(12), the claimant has certified no suit has been filed in court for the claimed uncompensated removal costs.
- 4. The claim was submitted on time.
- 5. The NPFC Claims Manager has thoroughly reviewed all documentation submitted with the claim and determined that the removal costs presented were for actions in accordance with the NCP and that the costs for these actions were indeed reasonable and allowable under OPA and 33 CFR § 136.205.

B. Analysis:

NPFC CA reviewed the actual cost invoices and dailies to confirm that the claimant had incurred all costs claimed. The review focused on: (1) whether the actions taken were

compensable "removal actions" under OPA and the claims regulations at 33 CFR 136 (e.g., actions to prevent, minimize, mitigate the effects of the incident); (2) whether the costs were incurred as a result of these actions; (3) whether the actions taken were determined by the FOSC, to be consistent with the NCP or directed by the FOSC, and (4) whether the costs were adequately documented and reasonable.

The Claims Manager verified the scope of the response as well as verified that the services were billed in accordance with the rate schedule that was in place at the time the services were provided. On that basis, the Claims Manager hereby determines that the claimant did in fact incur \$8,490.10 of uncompensated removal costs and that that amount is properly payable by the OSLTF as full compensation for the reimbursable removal costs incurred by the claimant and submitted to the NPFC under claim #910150-0001. The claimant states that all costs claimed are for uncompensated removal costs incurred by the claimant for this incident on February 22, 2009. The claimant represents that all costs paid by the claimant are compensable removal costs, payable by the OSLTF as presented by the claimant.

C. Determined Amount:

The NPFC hereby determines that the OSLTF will pay \$8,490.10 as full compensation for the reimbursable removal costs incurred by the Claimant and submitted to the NPFC under claim #910150-0001. All costs claimed are for charges paid for by the Claimant for removal actions as that term is defined in OPA and, are compensable removal costs, payable by the OSLTF as presented by the Claimants.

AMOUNT: \$8,490 10

Claim Supervisor:

Date of Supervisor's review: 12/21/10

Supervisor Action: Approved

Supervisor's Comments: