

U.S. Department of  
Homeland Security

United States  
Coast Guard



Director  
National Pollution Funds Center

4200 Wilson Blvd Stop 7100  
Arlington VA 20598-7100  
Staff Symbol: Ca  
Toll-Free: 1-800-280-7118  
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NPFCCCLAIMSINFO@uscg.mil

5890  
December 7, 2012

VIA EMAIL: [REDACTED]@ct.gov

State of Connecticut  
Department of Energy & Environment Protection  
Bureau of Financial & Support Services  
79 Elm Street Case  
(File #200801133)  
Hartford, CT 06106-5127

RE: 913013-0001

Dear Mr. Gunning:

The National Pollution Funds Center (NPFC), in accordance with the Oil Pollution Act (OPA) (33 U.S.C. 2701 et seq.), has determined that \$6,738.35 is compensation for OPA claim number 913013-0001.

This determination is based on an analysis of the information submitted. Please see the attached determination for further details regarding the rationale for this decision.

All costs that are not determined as compensable are considered denied. You may make a written request for reconsideration of this claim. The reconsideration must be received by the NPFC within 60 days of the date of this letter and must include the factual or legal basis of the request for reconsideration, providing any additional support for the claims. Reconsideration will be based upon the information provided and a claim may be reconsidered only once. Disposition of the reconsideration will constitute final agency action. Failure of the NPFC to issue a written decision within 90 days after receipt of a timely request for reconsideration shall, at the option of the claimant, be deemed final agency action. All correspondence should include corresponding claim number.

Mail reconsideration request to:

Director  
NPFC CA MS 7100  
US COAST GUARD  
4200 Wilson Boulevard, Suite 1000  
Arlington, VA 20598-7100

If you accept this determination, please sign the enclosed Acceptance / Release Agreement where indicated and return to the above address.

If we do not receive the signed original Acceptance / Release Agreement within 60 days of the date of this letter, the determination is void. If the determination is accepted, an original signature and a valid tax identification number (EIN or SSN) are required for payment. If you are a Claimant that has submitted other claims to the National Pollution Funds Center, you are required to have a valid Contractor Registration record prior to payment. If you do not, you may register free of charge at [www.SAM.gov](http://www.SAM.gov). Your payment will be mailed or electronically deposited in your account within 60 days of receipt of the Release Agreement.

If you have any questions or would like to discuss the matter, you may contact me at the above address, by phone at [REDACTED] or by email at [REDACTED]@uscg.mil.

Sincerely,

[REDACTED]  
Galen Wetzel  
Claims Manager  
U.S. Coast Guard  
By direction

Enclosures: Claim Summary / Determination  
Acceptance / Release Agreement

## CLAIM SUMMARY / DETERMINATION

|                          |                      |
|--------------------------|----------------------|
| <b>Claim Number:</b>     | 913013-0001          |
| <b>Claimant:</b>         | State of Connecticut |
| <b>Type of Claimant:</b> | State                |
| <b>Type of Claim:</b>    | Removal Costs        |
| <b>Claim Manager:</b>    | Galen Wetzel         |
| <b>Amount Requested:</b> | \$6,874.85           |

### FACTS:

**Oil Spill Incident:** On February 26, 2008, Connecticut Emergency Response Coordinator (ERC) responded to a report of oil in the Trout Brook, near Asylum Street. The Trout Brook is a tributary to the South Branch Park River and flows underground through Army Corp of Engineering conduit into the Connecticut River, a navigable waterway of the US. No source of the oil was determined.

**Description of Removal Activities for this Claimant:** Upon arrival on scene by ERC they found that West Hartford Fire Department was taking steps to try to prevent the oil from migrating further down stream. The ERC retained the the services of Environmental Services Inc (ESI) to clean up the spilled material. Utilizing a vacuum truck, absorbent booms and pads, the majority of the material was removed. Absorbent booms were left in place (changed out twice) until March 6, 2008.

**The Claim:** On November 15, 2012, State of Connecticut Department of Energy & Environment Protection (CTDEP), presented a removal cost claim to the National Pollution Funds Center (NDFC) for reimbursement of their uncompensated removal costs in the amount of \$6,874.85.<sup>1</sup>

State of Connecticut is claiming labor costs of \$2,975.20, equipment costs of \$2,076.14, material costs of \$948.00 and subcontractor costs of \$875.51.

### APPLICABLE LAW:

"Oil" is defined in relevant part, at 33 USC § 2701(23), to mean "oil of any kind or in any form, including petroleum, fuel oil, sludge, oil refuse, and oil mixed with wastes other than dredged spoil".

The Oil Spill Liability Trust Fund (OSLTF), which is administered by the NPFC, is available, pursuant to 33 USC §§ 2712(a)(4) and 2713 and the OSLTF claims adjudication regulations at 33 CFR Part 136, to pay claims for uncompensated removal costs that are determined to be consistent with the National Contingency Plan and uncompensated damages. Removal costs are defined as "the costs of removal that are incurred after a discharge of oil has occurred or, in any case in which there is a substantial threat of a discharge of oil, the costs to prevent, minimize, or mitigate oil pollution from an incident".

Under 33 USC §2713(b)(2) and 33 CFR 136.103(d) no claim against the OSLTF may be approved or certified for payment during the pendency of an action by the claimant in court to recover the same costs that are the subject of the claim. See also, 33 USC §2713(c) and 33 CFR 136.103(c)(2) [claimant election].

<sup>1</sup> NPFC Standard Claim Form dated November 1, 2012.

33 U.S.C. §2713(d) provides that "If a claim is presented in accordance with this section, including a claim for interim, short-term damages representing less than the full amount of damages to which the claimant ultimately may be entitled, and full and adequate compensation is unavailable, a claim for the uncompensated damages and removal costs may be presented to the Fund."

Under 33 CFR 136.105(a) and 136.105(e)(6), the claimant bears the burden of providing to the NPFC, all evidence, information, and documentation deemed necessary by the Director, NPFC, to support the claim.

Under 33 CFR 136.105(b) each claim must be in writing, for a sum certain for each category of uncompensated damages or removal costs resulting from an incident. In addition, under 33 CFR 136, the claimant bears the burden to prove the removal actions were reasonable in response to the scope of the oil spill incident, and the NPFC has the authority and responsibility to perform a reasonableness determination. Specifically, under 33 CFR 136.203, "a claimant must establish -

- (a) That the actions taken were necessary to prevent, minimize, or mitigate the effects of the incident;
- (b) That the removal costs were incurred as a result of these actions;
- (c) That the actions taken were determined by the FOSC to be consistent with the National Contingency Plan or were directed by the FOSC."

Under 33 CFR 136.205 "the amount of compensation allowable is the total of uncompensated *reasonable* removal costs of actions taken that were determined by the FOSC to be consistent with the National Contingency Plan or were directed by the FOSC. Except in exceptional circumstances, removal *activities* for which costs are being claimed must have been coordinated with the FOSC." [Emphasis added].

## **DETERMINATION OF LOSS:**

### ***A. Overview:***

1. FOSC coordination was provided by U.S. EPA, Mr. John Mccuin.<sup>2</sup>
2. The incident involved the discharge of "oil" as defined in OPA 90, 33 U.S.C. §2701 to "navigable waters."
3. In accordance with 33 CFR § 136.105(e)(12), the claimant has certified no suit has been filed in court for the claimed costs.
4. In accordance with 33 U.S.C. § 2712(h)(2), the claim was submitted within the six year statute of limitations for removal costs.
5. The NPFC Claims Manager thoroughly reviewed all documentation submitted with the claim and determined what costs presented were for actions in accordance with the NCP and that the costs for these actions were reasonable and allowable under OPA and 33 CFR § 136.205.

### ***B. Analysis:***

NPFC CA reviewed the actual cost invoices and dailies to confirm that the claimant had incurred all costs claimed. The review focused on: (1) whether the actions taken were compensable "removal actions" under OPA and the claims regulations at 33 CFR 136 (e.g.,

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<sup>2</sup> CT Department of Environmental Protection Emergency Incident Field Report March 6, 2012.

actions to prevent, minimize, mitigate the effects of the incident); (2) whether the costs were incurred as a result of these actions; (3) whether the actions taken were determined by the FOSC, to be consistent with the NCP or directed by the FOSC, and (4) whether the costs were adequately documented and reasonable.

The Claimant is requesting \$490.00 for the day rate cost for the use of Tractor with Liquid Transporter on February 27, 2008. The State Contract with ESI calculates the day rate when a piece of equipment is used in excess of eight hours and it states "the day rate shall be seven (7) times the hourly rate." The contract lists the hourly rate for the Tractor with Liquid Transporter as \$58.00. Seven times \$58.00 equals \$406.00. Therefore, the OPA compensable cost is \$406.00 and the remaining amount of \$84.00 is denied as not billed in accordance with the contracted rates.

The Claimant is requesting \$306.25 for the day rate overage costs for the five extra hours the Tractor with Liquid Transporter was used on February 27, 2008. The State Contract with ESI calculates the day rate overage cost as "for each hour of actual use in excess of eight (8) hours during a day, the contractor shall receive 1/8 the daily rate." Since NPFC has recalculated the daily rate to be \$406.00 and 1/8 of \$406.00 equals \$50.75 therefore the day rate overage cost is \$253.75. OPA compensable cost is \$253.75 and the remaining amount of \$52.50 is denied as not billed in accordance with the contracted rates.

All other costs were validated and the NPFC has determined the costs were reasonable, necessary and performed in accordance with the National Contingency Plan (NCP).

On that basis, the Claims Manager hereby determines that the Claimant did in fact incur \$6,738.35 of uncompensated removal costs and that amount is payable by the OSLTF as full compensation for the reimbursable removal costs incurred by the Claimant and submitted to the NPFC under claim #913013-0001. The Claimant states that all costs claimed are for uncompensated removal costs incurred by the Claimant for this incident on February 26, 2008. The Claimant represents that all costs paid by the Claimant are compensable removal costs, payable by the OSLTF as presented by the Claimant.

**C. Determined Amount:**

The NPFC hereby determines that the OSLTF will pay \$6,738.35 as full compensation for the reimbursable removal costs incurred by the Claimant and submitted to the NPFC under claim #913013-0001. All costs claimed are for charges paid for by the Claimant for removal actions as that term is defined in OPA and, are compensable removal costs, payable by the OSLTF as presented by the Claimants.

**AMOUNT: \$6,738.35**

Claim Supervisor: *Donna Hellberg*

Date of Supervisor's review: *12/7/12*

Supervisor Action: *Approved*

Supervisor's Comments:

**ACCEPTANCE / RELEASE AGREEMENT**

|                           |                                     |
|---------------------------|-------------------------------------|
| Claim Number: 913013-0001 | Claimant Name: State of Connecticut |
|---------------------------|-------------------------------------|

I, the undersigned, ACCEPT this settlement offer of \$6,738.35 as full and final compensation for removal costs arising from the specific claim number identified above. With my signature, I also acknowledge that I accept as final agency action all costs submitted with subject claim that were denied in the determination and for which I received no compensation.

This settlement represents full and final release and satisfaction of the amounts paid from the Oil Spill Liability Trust Fund under the Oil Pollution Act of 1990 for this claim. I hereby assign, transfer, and subrogate to the United States all rights, claims, interest and rights of action, that I may have against any party, person, firm or corporation that may be liable for the amounts paid for which I have been compensated under this claim. I authorize the United States to sue, compromise or settle in my name and the United States fully substituted for me and subrogated to all of my rights arising from and associated with those amounts paid for which I am compensated for with this settlement offer. I warrant that no legal action has been brought regarding this matter and no settlement has been or will be made by me or any person on my behalf with any other party for amounts paid which is the subject of this claim against the Oil Spill Liability Trust Fund (Fund).

This settlement is not an admission of liability by any party.

With my signature, I acknowledge that I accept as final agency action all amounts paid for this claim and amounts denied in the determination for which I received no compensation.

I, the undersigned, agree that, upon acceptance of any compensation from the Fund, I will cooperate fully with the United States in any claim and/or action by the United States against any person or party to recover the compensation. The cooperation shall include, but is not limited to, immediately reimbursing the Fund for any compensation received from any other source for those amounts paid for which the Fund has provided compensation, by providing any documentation, evidence, testimony, and other support, as may be necessary for the United States to recover from any other person or party.

I, the undersigned, certify that to the best of my knowledge and belief the information contained in this claim represents all material facts and is true. I understand that misrepresentation of facts is subject to prosecution under federal law (including, but not limited to 18 U.S.C. §§ 287 and 1001).

|   |                   |
|---|-------------------|
| _____   | _____             |
| Title of Person Signing                               | Date of Signature |
| _____   | _____             |
| Printed Name of Claimant or Authorized Representative | Signature         |

|                         |                   |
|-------------------------|-------------------|
| _____                   | _____             |
| Title of Witness        | Date of Signature |
| _____                   | _____             |
| Printed Name of Witness | Signature         |

|                       |                     |                     |
|-----------------------|---------------------|---------------------|
| _____                 | _____               | _____               |
| *DUNS/EIN/SSN         | Bank Routing Number | Bank Account Number |
| *Required for Payment |                     |                     |