

Class I, Civil Penalties Assessed by the CG Hearing Office for CY2022 Activities

The following is a summary of the civil penalties assessed by the CG Hearing Office for Enforcement Activities with a start date between January 1, 2022 and January 1, 2023.

Title	CFR or USC	Part/Section	Cite Summary	Total # of Vio	Sum of Penalty Amount
33	CFR	83	Inland Nav Rules	93	\$ 11,525.00
33	CFR	101	Maritime Security	1	\$ 1,000.00
33	CFR	105	Maritime Security (facilities)	6	\$ 8,000.00
33	CFR	151	Pollution	36	\$ 95,650.00
33	CFR	155	Pollution prevention (vessels)	23	\$ 3,400.00
33	CFR	159	Marine Sanitation Devices	9	\$ 3,200.00
33	CFR	160	Ports and Waterways Safety	21	\$ 191,750.00
33	CFR	164	Navigation Safety	5	\$ 4,400.00
33	CFR	165	Regulated Navigation Areas	8	\$ 7,750.00
33	CFR	173	Boating Safety	153	\$ 13,300.00
33	CFR	175	Equipment Requirements	339	\$ 36,730.00
46	CFR	4	Marine Casualty Reporting	9	\$ 23,000.00
46	CFR	15	Manning Requirements	70	\$ 90,200.00
46	CFR	16	Chemical Testing	68	\$ 22,250.00
46	CFR	25	Requirements (safety equip)	79	\$ 14,675.00
46	CFR	26	Operations	2	\$ 950.00
46	CFR	28	Requirements for CFIVs	59	\$ 14,800.00
46	CFR	42	Loadlines	2	\$ 10,975.00
46	CFR	67	Vessel Documentation	66	\$ 27,025.00
46	CFR	71	Inspection/Cert-Passenger Vsl	1	\$ 2,500.00
46	CFR	91	Inspection/Cert-Cargo & Misc Vsl	2	\$ 16,650.00
46	CFR	136	Inspection/Cert-Towing VsIs	2	\$ 5,030.00
46	CFR	176	Inspection/Cert-Small Pass VsIs	83	\$ 106,045.00
46	CFR	180	Lifesaving equip (Int'l voyages)	34	\$ 18,650.00
46	CFR	185	Operations (Int'l voyages)	1	\$ 1,000.00
14	USC	§ 521(c)	False Distress	1	\$ 750.00
19	USC	§ 70	Obstruction of Revenue Officer	1	\$ 250.00
33	USC	§ 1321(b)(3)	Discharge of Oil or Haz Sub	26	\$ 118,175.00
33	USC	§ 1321(b)(7)(B)(ii)	Failure to comply with order	1	\$ 7,500.00
33	USC	§ 1608 (RULE 23)	Violation of COLREGS	18	\$ 5,000.00
46	USC	§ 12131	Command of documented vsl	4	\$ 7,700.00
46	USC	§ 2302(a)	Negligent Operation (Rec vsl)	11	\$ 42,000.00
46	USC	§ 2302(a) Commercial	Negligent Operation (Comm vsl)	5	\$ 18,500.00
46	USC	§ 2302(c)(1)	BUI	38	\$ 44,800.00
46	USC	§ 3311(b)	Rqts for VsIs w/o COI	2	\$ 38,500.00
46	USC	§ 4308	Termination of Unsafe Operation	1	\$ 100.00
46	USC	§ 4312(b)	Engine Cut-off Switch	2	\$ 250.00
46	USC	§ 70506(c)	Simple Possession (Control Sub)	16	\$ 15,133.00
46	USC	§ 8103(a)	Citizenship Requirements	3	\$ 2,773.00
46	USC	§ 8103(i)	Citizenship Reqt's-CFV (crew)	25	\$ 27,315.00
TOTAL				1326	\$ 1,059,201.00

This data for this summary was extracted from the MISLE Enforcement Sanctions cube by CG-INV-2 on January 3, 2024.

Warning Assessed by the CG Hearing Office for CY2022 Activities

The following is a summary of the civil penalties assessed by the CG Hearing Office for Enforcement Activities with a start date between January 1, 2022 and January 1, 2023.

Title	CFR or USC	Part/Section	Cite Summary	Total # of Vio
33	CFR	83	Inland Nav Rules	13
33	CFR	151	Pollution	10
33	CFR	155	Pollution prevention (vessels)	2
33	CFR	173	Boating Safety	17
33	CFR	175	Equipment Requirements	59
46	CFR	15	Manning Requirements	2
46	CFR	16	Chemical Testing	4
46	CFR	25	Requirements (safety equip)	13
46	CFR	26	Operations	2
46	CFR	28	Requirements for CFIVs	17
46	CFR	67	Vessel Documentation	5
46	CFR	176	Inspection/Cert-Small Pass VsIs	1
46	CFR	180	Lifesaving equip (Int'l voyages)	2
33	USC	§ 1321(b)(3)	Discharge of Oil or Haz Sub	1
33	USC	§ 1608	Violation of COLREGS	2
46	USC	2302(a)	Negligent Ops	1
46	USC	§ 2302(c)(1)	BUI	2

Total	153
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"Dismissed" or "Not Proved" Offenses for Civil Penalty Cases Adjudicated by CG Hearing Office, CY2022

The following is a summary of the civil penalties assessed by the CG Hearing Office for Enforcement Activities with a start date between January 1, 2022 and January 1, 2023.

	Finding	Title	CFR or USC	Part/Section	Cite Summary	Count of Finding Type
1	Dismissed with Prejudice	33	CFR	173.21(a)(1)	Boating Safety	1
2	Dismissed with Prejudice	33	CFR	173.27(a)(3)	Boating Safety	1
3	Dismissed with Prejudice	46	CFR	16.203(a)(1)	Chemical Testing	1
4	Dismissed with Prejudice	46	CFR	180.71	Lifesaving equip (Int'l voyages)	1
5	Dismissed without Prejudice	18	USC	§ 2237	Criminal sanctions	1
6	Dismissed without Prejudice	19	USC	§ 1581(d)	Failure to stop	1
7	Dismissed without Prejudice	19	USC	§ 70	Obstruction of Revenue Officer	1
8	Dismissed without Prejudice	33	CFR	105.250	Maritime Security (facilities)	1
9	Dismissed without Prejudice	33	CFR	105.255(a)	Maritime Security (facilities)	1
10	Dismissed without Prejudice	33	CFR	105.255(e)	Maritime Security (facilities)	1
11	Dismissed without Prejudice	33	CFR	160.105	Ports and Waterways Safety	2
12	Dismissed without Prejudice	33	CFR	160.212(a)(4)(ii)	Ports and Waterways Safety	1
13	Dismissed without Prejudice	33	CFR	164.46(d)	Navigation Safety	1
14	Dismissed without Prejudice	33	CFR	173.15(a)(2)	Boating Safety	1
15	Dismissed without Prejudice	33	CFR	173.21(a)(1)	Boating Safety	4
16	Dismissed without Prejudice	33	CFR	175.110(a)	Equipment Requirements	2
17	Dismissed without Prejudice	33	CFR	175.15(a)(1)	Equipment Requirements	5
18	Dismissed without Prejudice	33	CFR	175.15(b)	Equipment Requirements	4
19	Dismissed without Prejudice	33	CFR	175.21(a)	Equipment Requirements	1
20	Dismissed without Prejudice	33	CFR	175.320(a)	Equipment Rts (fire extinguishers)	6
21	Dismissed without Prejudice	33	CFR	83.05	Inland Nav Rules	1
22	Dismissed without Prejudice	33	CFR	83.33(b)	Inland Nav Rules	2
23	Dismissed without Prejudice	33	CFR	95.050(b)	Responsibility for compliance	1
24	Dismissed without Prejudice	33	USC	§ 1321(b)(3)	Discharge of Oil or Haz Sub	1
25	Dismissed without Prejudice	33	USC	§ 1602 (RULE 33)	Violation of COLREGS	1
26	Dismissed without Prejudice	46	CFR	15.401	Manning Requirements	3
27	Dismissed without Prejudice	46	CFR	15.515(b)	Manning Requirements	8
28	Dismissed without Prejudice	46	CFR	15.515(c)	Manning Requirements	1
29	Dismissed without Prejudice	46	CFR	15.605	Manning Requirements	2
30	Dismissed without Prejudice	46	CFR	16.201	Chemical Testing	1
31	Dismissed without Prejudice	46	CFR	16.203(a)(1)	Chemical Testing	2
32	Dismissed without Prejudice	46	CFR	170.120	Stability letter	1
33	Dismissed without Prejudice	46	CFR	180.71	Lifesaving equip (Int'l voyages)	2
34	Dismissed without Prejudice	46	CFR	25.25-15(a)	Requirements (safety equip)	1
35	Dismissed without Prejudice	46	CFR	25.30-20(a)(1)	Requirements (safety equip)	5
36	Dismissed without Prejudice	46	CFR	28.250	Requirements for CFIVs	2
37	Dismissed without Prejudice	46	CFR	28.110	Requirements for CFIVs	3
38	Dismissed without Prejudice	46	CFR	28.145	Requirements for CFIVs	2
39	Dismissed without Prejudice	46	CFR	28.160	Requirements for CFIVs	1
40	Dismissed without Prejudice	46	CFR	28.245	Requirements for CFIVs	1
41	Dismissed without Prejudice	46	CFR	28.250	Requirements for CFIVs	1
42	Dismissed without Prejudice	46	CFR	67.313	Vessel Documentation	1
43	Dismissed without Prejudice	46	CFR	67.7	Vessel Documentation	1
44	Dismissed without Prejudice	46	USC	§ 2302(a) Rec	Negligent Operation (Rec vs)	5
45	Dismissed without Prejudice	46	USC	§ 2302(c)(1)	BUI	2
46	Dismissed without Prejudice	46	USC	§ 4308	Termination of Unsafe Operation	1
47	Dismissed without Prejudice	46	USC	§ 4502	CFIV safety standards	2
48	Dismissed without Prejudice	46	USC	§ 4504	CFIV prohibited acts	12
49	Dismissed without Prejudice	46	USC	§ 8103(i)	Citizenship Req'ts-CFV (crew)	4

	Count of Finding Type
Dismissed w/ Prejudice	4
Dismissed w/out Prejudice	103
Total	107