



**FOREIGN PASSENGER VESSEL
ANNUAL EXAMINATION BOOK
(FOR VESSELS BUILT AFTER 25 MAY 80)**

Name of Vessel		Flag No Change	
IMO Number		Case Number	
Date Completed	Priority	Points	
Location			
Vessel Built in Compliance with SOLAS: 60 74 74/78 NA			
Senior Marine Inspectors / Port State Control Officers			
1. _____		3. _____	
2. _____		4. _____	

Notes: _____

Section 1: Administrative Items

IMO Applicability Dates:

Reference	Date
SOLAS 1948	19 NOV 52
SOLAS 1960	26 MAY 65
SOLAS 1974	25 MAY 80
1978 Protocol to SOLAS 1974	01 MAY 81
1981 Amendments (II-1 & II-2)	01 SEP 84
1983 Amendments (III)	01 JUL 86
<i>Various additional amendments to SOLAS</i>	
MARPOL 73/78 Annex I	02 OCT 83
MARPOL 73/78 Annex II	06 APR 87
MARPOL 73/78 Annex III	01 JUL 92
MARPOL 73/78 Annex V	31 DEC 88
COLREGS 1972	15 JUL 77
<i>Various additional amendments to COLREGS</i>	
Load Line 1966	21 JUL 68
STCW 1978	28 APR 84
1991 Amendments	01 DEC 92
1994 Amendments	01 JAN 96
1995 Amendments	01 FEB 97

Detention Information:

NOTE: Complete prior to recommendation.

Verify owner (from DOC or COFR), operator, and mailing address.

Verify owner's agent.

Verify last and future drydock dates and locations.

If dual classed, who will respond? _____

Which agency issued the documents that have major problems?

What is the date of the last survey conducted for those items that have problems?

What are the vessel's plans to deal with the problems?

What is the crew's attitude toward the problems?

Is the detention ISM related? If so, include ISM certification information in the Detention Report to G-MOC-4.

Notes: _____

Vessel Information:

Classification Society	
ISM Issuer: Same as above?	
Yes	No If not the same, which Recognized Organization? _____
<i>NOTE: The period of validity for ISM documents should correspond to the following list. If they do NOT, ISM documents should be further investigated.</i>	
<input type="checkbox"/> 5 years = Full term (SMS and DOC)	<input type="checkbox"/> 12 months = Interim (DOC)
<input type="checkbox"/> 6 months = Interim (SMC)	<input type="checkbox"/> 5 months = Short term (SMC)
Last Drydocking Date	Next Drydocking Date
Location of Last Drydocking	
Date of Last Class Survey	
Outstanding conditions of class or non-conformities	
Last Port of Call	Next Port of Call
Method of Construction I II III	Conversions / Modifications
Call Sign	No Change (VFID)
Gross Tons	No Change (VFMD)
Built Date (use delivery date)	No Change (VFCD)
Overall Length (in feet)	No Change (VFMD)

Vessel Description:

Passenger Vessel

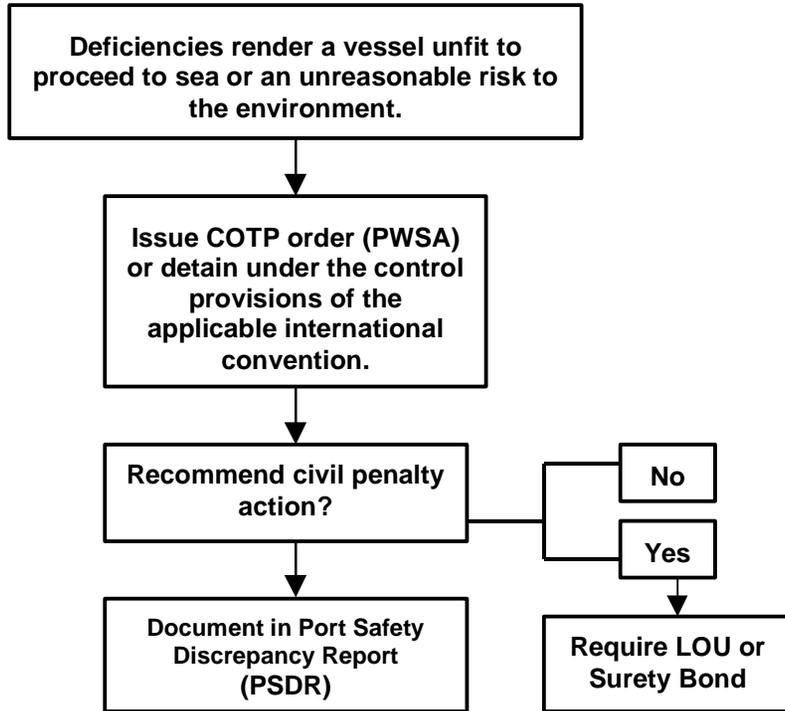
Ferry

Ro-ro Passenger Vessel

Other _____

Requiring Corrective Measures Prior to Departure

(DETENTION)



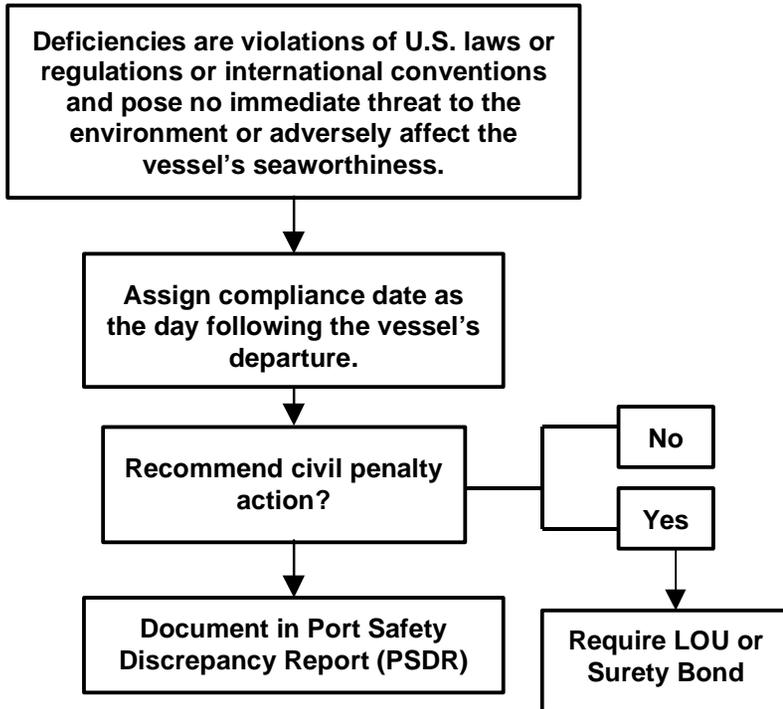
Examples include the following:

- Excessive wastage, corrosion, pitting, holes, or damage to the hull, cargo hatches, fire main, or other vital system.
- Inoperable emergency fire pump or emergency generator.
- Inability to lower lifeboats.
- Inoperable lifeboat motors (i.e., will not start).
- Crew incompetent to carry out duties (e.g., fire or boat drills, cargo transfer, stability calculations, etc.).
- Licenses invalid.
- Safe Manning Document not on board.

	Endors. Date	Exp. Date	Issue Date	Port Issued	ID #	Issuing Agency
Name of Certificate						
International Tonnage (ITC) No Change						
Safety Management (SMC) No Change						
Document of Compliance (DOC) No Change						

Requiring Corrective Measures Prior to Return to U.S.

(NO DETENTION)



Examples include the following:

- Charts or nautical publications not currently corrected.
- Portable hoses have not been tested but appear in good condition.
- Actual location of safety equipment deviates from the vessel safety plan.
- Electrical fixtures in paint locker not appropriately certified for safe usage in hazardous location. (Operational controls, such as disconnecting the electrical power source or removing flammables from the space, may satisfactorily remove risk to vessel.)

- Bridge log 33 CFR 164.25
STCW 95 I/14
 - Pre-arrival tests conducted
 - Casualties (navigation equipment and steering gear failures reported) 33 CFR 164.53
 - Steering gear drills
 - Emergency steering drills
- Exemptions to SOLAS certificates SOLAS 74/78 I/4
- Criterion numeral SOLAS 74 II-1/5
SOLAS 74/78 II-1/6
 - Determination
- Stability information SOLAS 74 II-1/7
SOLAS 74/78 II-1/8
SOLAS 74 II-1/19
SOLAS 74/78 II-1/22
SOLAS 74 II-1/20
SOLAS 74/78 II-1/23
 - Damage stability information
 - Stability test information
 - Damage control plans
- Information on all persons aboard vessel SOLAS 74/78 III/24-2.1
SOLAS 74/78 III/24-2.2
SOLAS 74/78 III/24-2.3
SOLAS 74/78 III/24-2.4
 - List of "special needs" passengers
 - Identification record (name-gender-adult, child, infant)
 - Held ashore readily available for SAR organizations
- Decision support system for emergency management SOLAS 74/78 III/24-4
- Working language established and recorded SOLAS 74/78 V/13
 - Language _____
 - Different from Flag State
 - Yes
 - No
 - Posted plans include translation

Notes: _____

Section 5: Appendices

Recommended Port State Control Procedures:

The following flowcharts contain information gleaned from the Marine Safety Manual Volume II, Chapter D2. The senior marine inspector/port state control officer should be familiar with this chapter as well as the information pertaining to Port State Control examinations contained in MSM Volume II, Chapters D1—Foreign Vessel Exams (General), D7—Foreign Vessel Exams (Passenger), and D4—Targeting of Foreign Vessel Boardings.

Considering the seriousness of the deficiencies, the OCMI or COTP must determine the appropriate control action to impose on these vessels to ensure the safety of the vessel, the port, and the environment. The degree of control imposed, as well as the authority used to exercise control, must be consistent with the nature of the deficiencies.

The following definitions and terms of reference are used in the MSM to describe key elements of Port State Control enforcement:

Clear Grounds. Evidence that the vessel, its equipment, or crew do not correspond substantially to the requirements of the relevant conventions or that the master or crew members are not familiar with essential shipboard procedures relating to the safety of vessels or the prevention of pollution.

Control. Control is the process of imposing a port state's or flag state's authority over a vessel to ensure that its structure, equipment, operation and crew meet applicable standards. The process is affected by any verbal or written directives from the OCMI/COTPs or their representatives, which require action or compliance by the vessel.

Detention. Detention is a control action that restricts a vessel's right of free movement. The imposition of a restriction on the movement of a vessel constitutes a detention regardless of whether or not a delay from a vessel's normal or expected itinerary occurs. Detentions may be carried out under the authority of the applicable international convention, the Ports and Waterways Safety Act (PWSA) or a Customs hold.

Intervention. An intervention is a control action taken by a port state, which interposes the port state's authority over a foreign flag vessel in order to cause the vessel to be brought into compliance with an applicable international convention. Interventions are undertaken by a port state when a vessel's flag state has not, can not, or will not exercise its obligations under an international convention to which it is a party. This may include requesting appropriate information, requiring the immediate or future rectification of deficiencies, detaining the vessel, or allowing the vessel to proceed to another port for repairs.

Section 3: Inspection Requirements

Navigation Safety:

- Charts and publications for US waters/
intended voyage 33 CFR 164.33
 - Current and corrected charts
 - US Coast Pilot
 - Sailing directions
 - Coast Guard Light List
 - Tide tables
 - Tidal current tables
 - International Rules of the Road
 - Inland Rules of the Road
 - International Code of Signals
 - Plotting equipment 33 CFR 164.35
- Operationally test radar(s) and ARPA 33 CFR 164.35
33 CFR 164.37
33 CFR 164.38
 - 2 required if over 10,000 GT
 - Operate independently
 - ARPA acquires targets
- Compasses 33 CFR 164.35
 - Illuminated gyrocompass with repeater at stand
 - Illuminated magnetic compass
 - Current deviation table
- Test electronic depth sounding device and recorder 33 CFR 164.35
 - Accurate readout
 - Test all transducers
 - Continuous recorder (chart)
- Electronic position fixing device 33 CFR 164.41
 - Location accurate

Notes: _____

- Communication navigation bridge / machinery space
 - Engine-order telegraph
 - Sound powered phone, voice tube, etc.
- Remote shutdown for main propulsion on bridge
- Adequate ventilation in machinery spaces

SOLAS 74 II-1/32
SOLAS 74/78 II-1/37

SOLAS 74/78 II-1/31

SOLAS 74/78 II-1/35

Electrical Systems:

- Main generators
 - 2 required
- Emergency source of power
 - Emergency lighting
 - Generator and/or batteries tested under load
 - Provides adequate lighting at main generators
- General safety
 - Guards and mats for switchboards
 - Battery storage

SOLAS 74 II-1/24
SOLAS 74/78 II-1/41

SOLAS 74 II-1/25
SOLAS 74/78 II-1/42
SOLAS 74/78 II-1/44

SOLAS 74 II-1/27
SOLAS 74/78 II-1/45

Pollution Prevention:

- Pollution placard posted
- MARPOL V placard posted
- Garbage
 - Shipboard garbage properly disposed
 - No plastics or synthetics discharged overboard
 - Garbage Management Plan
- Standard discharge connection

33 CFR 155.450

MARPOL Ax. V/9

33 CFR 151.63
MARPOL Ax. V/3

MARPOL Ax. V/9

MARPOL Ax. I/18
33 CFR 155.430

Notes: _____

- Muster lists and emergency instructions
 - Available for each person
 - Posted in conspicuous places
 - Language understood by crew
 - Shows crew member duties
 - Checked for accuracy
- Pilot ladders and hoists in good condition

SOLAS 74/78 III/8

SOLAS 74/78 III/53

SOLAS 74/78 V/17

Subdivision and Stability:

- Stability logging
 - Verified by master
- Draft marks
 - Bow and stern
- Ballasting fuel tanks
- Load line markings
 - Visible including C.1 line
- Openings in watertight bulkheads
 - Pipes, cable penetrations properly sealed
 - Free of lead or other heat-sensitive materials
 - Ro-ro requirements
- Weathertight bulkhead
 - Fitted over collision bulkhead
 - Extends to next full deck above the bulkhead deck
- Operation of watertight / weathertight doors
 - Required drills
 - Inspections
 - Markings
 - Logged

SOLAS 74/78 II-1/8

SOLAS 74/78 II-1/8

SOLAS 74 II-1/8
SOLAS 74/78 II-1/9

SOLAS 74 II-1/11
SOLAS 74/78 II-1/13

SOLAS 74 II-1/13
SOLAS 74/78 II-1/15

SOLAS 74/78 II-1/23-2

SOLAS 74/78 II-1/10.3
SOLAS 74/78 II-1/10.4

SOLAS 74/78 II-1/24

SOLAS 74/78 II-1/25

Notes: _____

- General emergency alarm**
 - Audible throughout accommodation spaces, crew working spaces, and open decks
 - Sounds continuously until MANUALLY shut off
 - Special crew alarm

- Public address system installed**
 - Audible throughout accommodation spaces, public spaces, service spaces, control stations, and open decks

- Adequate means of escape in accordance with fire control plans**
 - Two means of escape
 - Dead-end corridors
 - Not blocked by furniture
 - Enclosed protected access to embarkation
 - Low-location lighting
 - No more than 1 foot above deck
 - Signs and equipment marked
 - Inspected and logged weekly

- Machinery space requirements**
 - Remote pump shutdowns
 - Remote fuel valves
 - Means of closing openings

- Fireman's outfits**
 - SCBA spare air cylinders (must be interchangeable)
 - Proper number of outfits for passenger spaces
 - 2 additional outfits per MVZ
 - Properly stowed

- Miscellaneous items**
 - Electric radiators
 - Noncombustible cinematographic film

SOLAS 74 II-2/32
SOLAS 74/78 II-2/40
SOLAS 74/78 II-2/41-2.4.8

SOLAS 74/78 II-2/40.4

SOLAS 74 II-2/32
SOLAS 74/78 II-2/40
SOLAS 74/78 II-2/41-2.4.9
SOLAS 74/78 III/6.5

SOLAS 74 II-2/21
SOLAS 74/78 II-2/28

SOLAS 74/78 II-2/41-2.4.10

SOLAS 74/78 II-2/41-2.4.7

SOLAS 74 II-2/50
SOLAS 74/78 II-2/11

SOLAS 74/78 II-2/15

SOLAS 74/78 II-2/17.1.2.2

SOLAS 74/78 II-2/17.3.1.1

SOLAS 74/78 II-2/17.4

SOLAS 74 II-2/6
SOLAS 74/78 II-2/18

Notes: _____

- Liferafts**
 - Number required
 - Specifications
 - Equipment
 - Embarkation
 - Markings
 - Operating instructions
 - Manning
 - Retro-reflective tape
 - Stowage
 - Servicing

- Liferafts**
 - Availability

- Liferafts**
 - Launching stations
 - Launching and recovery procedures
 - Falls renewed (end-for-end)

- Rescue boats**
 - Number required
 - Construction and equipment
 - Embarkation, launching, and recovery
 - Stowage
 - Retro-reflective tape

- Buoyant apparatus**
 - Availability
 - Number required
 - Stowage
 - Specifications

SOLAS 74 III/27
SOLAS 74/78 III/20
SOLAS 74 III/15 & 16
SOLAS 74/78 III/38 - 40

SOLAS 74 III/17
SOLAS 74/78 III/38

SOLAS 74 III/19
SOLAS 74/78 III/11 & 22

SOLAS 74 III/20
SOLAS 74/78 III/39 & 40

SOLAS 74/78 III/9

SOLAS 74/78 III/10

SOLAS 74/78 III/30

SOLAS 74 III/29
SOLAS 74/78 III/13, 23
SOLAS 74/78 III/19

SOLAS 74 III/4

SOLAS 74/78 III/12
SOLAS 74/78 III/15 & 48
SOLAS 74/78 III/19

SOLAS 74/78 III/20
SOLAS 74/78 III/47
SOLAS 74/78 III/16, 22 & 48

SOLAS 74/78 III/14
SOLAS 74/78 III/30

SOLAS 74 III/4
SOLAS 74 III/27
SOLAS 74 III/29
SOLAS 74 III/33

Notes: _____

- ☐ Fixed fire detection and alarm systems
 - Indicate fire and its location
 - 2 sources of power
 - Spare parts
 - Alarms
 SOLAS 74 II-2/12
 SOLAS 74 II-2/32
 SOLAS 74/78 II-2/13
 SOLAS 74/78 II-2/36

- Additional fixed fire detection and alarm system requirements
 - Fault indicators
 - Control panel indicators
 - Instructions
 - Call point locations
 - Smoke extraction system (vessels built after 01 FEB 92)
 - Required in machinery spaces if manning is reduced
 SOLAS 74/78 II-2/13
 SOLAS 74/78 II-2/36

 SOLAS 74/78 II-2/13-1
 SOLAS 74/78 II-2/14

- ☐ Fire extinguishers
 - Spares
 - Serviced
 - Location and number agree with fire control plan
 SOLAS 74 II-2/15
 SOLAS 74/78 II-2/21
 SOLAS 74/78 II-2/6
 SOLAS 74 II-2/7
 SOLAS 74 II-2/32

- ☐ Fire pumps, fire mains, hydrants, and hoses
 - Number of pumps required
 - Adequate number and position of hydrants
 - Adequate pressure
 - Relief valves
 - Fire hoses properly stored
 - Spanners
 - Water fog applicators
 - 2 in each Category A machinery space
 - 1 for each pair for SCBA's
 - 3 for each special category space
 - Portable foam applicators
 - 1 unit in each boiler room
 - 1 unit in each engineroom
 - 1 unit for each special category space
 - Fire hose nozzles
 - Jet / spray nozzles with a shutoff
 SOLAS 74 II-2/15
 SOLAS 74/78 II-2/21
 SOLAS 74 II-2/5
 SOLAS 74/78 II-2/4
 SOLAS 74 II-2/32

 SOLAS 74/78 II-2/41-2.1.3

 SOLAS 74/78 II-2/41-2.1.4

 SOLAS 74/78 II-2/41-2.1.5

Notes: _____

- ☐ Distress signals
 - 12 red rocket parachute flares
 SOLAS 74 III/24
 SOLAS 74/78 III/16 & 35

- Additional emergency communication equipment
 - Two-way radio
 - Portable radio for survival craft
 - Emergency alarm system
 - Emergency communications
 SOLAS 74/78 III/6

 SOLAS 74/78 III/50

- Muster stations
 - Location
 - Size
 - Emergency lighting
 SOLAS 74/78 III/24
 SOLAS 74/78 III/24
 SOLAS 74/78 III/11

- ◇ Adequate lighting for decks, lifeboats, etc.
 - Emergency lighting
 SOLAS 74 III/30

Fire Protection:

- ☐ Fire control plans
 - Properly displayed
 - Correct notations and information
 - Weathertight enclosure outside deckhouse
 SOLAS 74/78 II-2/20
 SOLAS 74/78 II-2/41-2.1.1

- ☐ Structure
 - Decks, deckhouse, shell, bulkheads
 - Aluminum A and B Class properly insulated
 - Conforms to plans
 - Fire integrity values
NOTE: *Reduced for sprinkled areas.*
 SOLAS 74 II-2/35
 SOLAS 74/78 II-2/23
 SOLAS 74 II-2/17
 SOLAS 74/78 II-2/23
 SOLAS 74 II-2/39
 SOLAS 74/78 II-2/20
 SOLAS 74/ II-2/39
 SOLAS 74/78 II-2/20

Notes: _____
