

UTS AO

AO Reference Guide

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Further Assistance

Resources below are available at the PSC Website: www.uscg.mil/hq/psc
AO Designation Form (CG HRSIC 7421)
UTS User Guide - Full volume guide to UTS.
UTS Software & User Error Reports
FAQ's About UTS

Support:

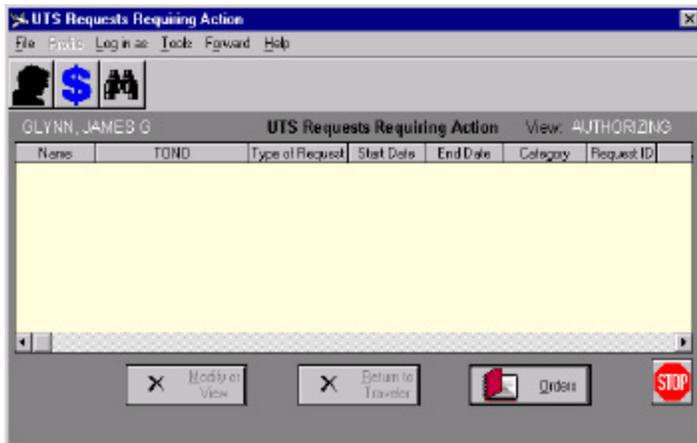
www.uscg.mil/hq/psc/customerservice.htm

AO View Mode

At UTS login screen, enter your **Employee ID** and press **Enter**. Enter your **password** at the prompt and press **Enter**.

Note: For FIRST-TIME login and for basic user information (i.e., Navigating UTS), see the **UTS Quick Reference Guide** (for travelers).

If the **AO** designation is assigned in your UTS profile, the first screen you see after login is the **UTS Requests Requiring Action (RRA)** screen.

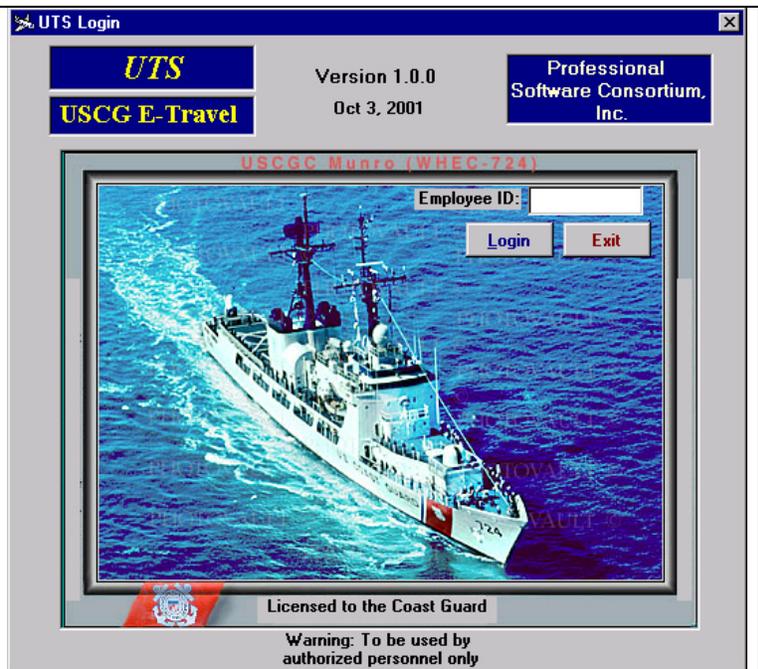


The **RRA** screen is accessed only by those personnel whom are designated to approve UTS transactions. **AO's** shall **ONLY** approve TDY transactions for travelers within their administrative area of responsibility (see Section 2-B of 3-PM for specific **AO** role & responsibility and other UTS-related policy and procedures).

Note: To gain **AO** designation, a command-approved designation form (CG HRSIC Form 7421) must be submitted to HRSIC-TVL. See <http://www.uscg.mil/hq/psc/forms> for the most recent version of the form. The command-approved form should be FAX'ed to (785) 339-3774.

At the **UTS Requests Requiring Action** screen, UTS travel transactions pending your review will appear (see screen above). It is recommended, where possible, that the **AO** access this screen daily to review and either return or approve pending UTS travel transactions.

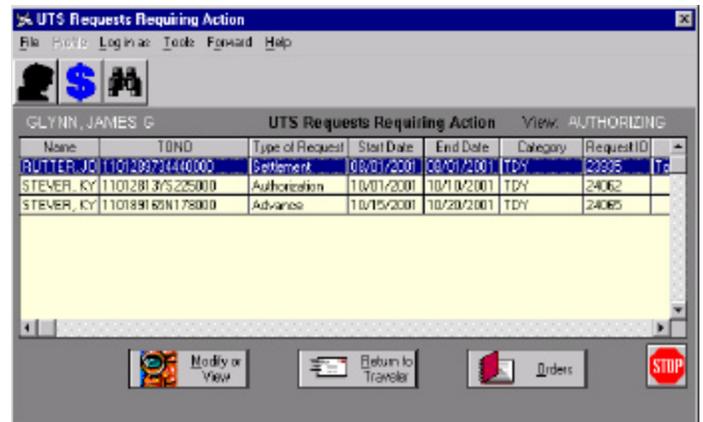
Note: UTS is currently being used **ONLY** for **TDY** travel transactions. Any **PCS** transactions should be deleted immediately. (See the "Delete Transactions" section of this guide.)



Types of UTS Transactions –

There are 3 types of UTS travel transactions an **AO** can approve:

- Request for TDY Authorization (TDY Orders)
- Request for 1351-2 Settlement (TDY Travel Claim)



Opening a UTS Transaction for Review –

There are 3 options to open a UTS transaction:

- Select "Modify/View" from the "File" drop-down menu at the top, left-hand corner of the screen.
- Click to highlight the transaction; then click the "Modify/View" button.
- Double-click on the transaction.

Transaction Review

Reviewing a Request for 1351-2 Settlement –

These are identified as "Settlement" in the status screen and as "Request for TDY Settlement" when opened to modify/review.

Open the "Settlement" from the status screen. The "Request for TDY Settlement" screen will appear which shows the TDY order information necessary to complete the settlement. The **AO** must review the following for correctness:

- **TONO:** If the TONO is incorrect, the Request for Settlement **MUST** be deleted and a new request submitted with correct TONO. (See the "Delete Transactions" section of this guide.)
- **Type of Claim:** For first-time claim, the default is "Final". For a subsequent claim on the same TONO, the default should be "Supplemental".

Reviewing a Request for 1351-2 Settlement - cont'd

- Method of Payment: The default is "Direct Deposit". The option of "Cash" is not authorized.
- Amt Previously Advanced: Verify amount. The **AO** can enter/change an amount. DO NOT enter cents.
- Modify Acctng: UTS validates the accounting string when the transaction is opened by the **AO**. If left blank or incorrect, the **AO** can enter or correct prior to approval by clicking on the "Modify Acctng" button. (See "Entering Accounting Data" in this guide.)

Note: For corrections, click on the applicable field and make the correction. To SAVE, press "Alt-S" or click on "Save" button.

When the TDY Order portion of the settlement is correct, **click** on the "View/Modify" button to open/review the claim information. The **AO** must review the following information for correctness:

- Itinerary
- Reimbursable Expenses
- Exceptions to Daily Expenses
- Occasional Expenses

Itinerary – This section records trip details for the authorized TDY. (See the **UTS Quick Reference Guide** for directions to complete the itinerary.) The **AO** shall verify the following prior to approving the "Settlement" for payment:

- Dates of TDY Travel
- TDY Location(s)
- Method of Lodging Reimbursement
- Type of Quarters
- Type of Messing
- Mileage (if claimed).

Note: For definitions of codes, use the HELP menu option.

Reimbursable Expenses – This section records itemized TDY expenses claimed by the traveler. The **AO** must review and enter the "Amount Approved" in the column for each item claimed.

Note: Ensure a "Date" is entered for each item and it is within the period of TDY authorized on the orders.

Note: UTS will not allow more than 30 items/lines of R/E's. When necessary to stay within this limit, traveler can combine expenses claimed for "taxi", "parking", etc. (When combined, DO NOT exceed total of \$75.00 or receipts will be required.)

Reviewing a Request for 1351-2 Settlement - cont'd

Exceptions To Daily Expenses – Click on this button to verify the breakdown of daily expenses. It should denote changes to daily lodging or meals during TDY period (i.e., deductible meals, proportional per diem).

Occasional Expenses – Click on this button to verify if traveler is claiming additional meal or lodging expenses (i.e., dual lodging).

Reviewing a Request for TDY Authorization -

These are identified as "Authorization" in the status screen and as "TDY Travel Request" when opened to modify/review. There are 3 sections that must be reviewed for correctness:

- TDY Top Section
- Itinerary
- Reimbursable Expenses

TDY Top Section – This includes basic TDY Order information.

- TONO: If the TONO is incorrect, the TDY Travel Request **MUST** be deleted and a new request submitted with the correct TONO. (See the "Delete Transactions" section of this guide.)
- Type of Order: For one-time order use "Normal". For various visits/revisits use "Blanket".
- Purpose: Choose applicable purpose of TDY trip(s).
- Funds Used: This will **ALWAYS** be "Coast Guard" unless; "The Yard" or "ARSC" is funding the orders. Contact the Funds Administrator/TONO issuing authority to verify.

- Covered From/To: This period **MUST** specify the **ENTIRE** period authorized by this TDY order.

Note: For blanket orders, ensure the period entered covers the **WHOLE** period of TDY.

Reviewing a Request for TDY Authorization - cont'd

- Mode of Travel: Check the appropriate box to designate the "Primary Mode of Travel". Also, check the box "Mode Directed" to indicate traveler is entitled to reimbursement for this mode ONLY.
- Note:** If "POV Authorized – Not to Exceed Cost of Government Transportation", the AO MUST calculate constructive cost limit and itemize with dollar amount in Reimbursable Expenses.
- Modify Acctng: UTS validates the accounting string as soon as the transaction is opened by the AO. If the accounting string is blank or incorrect, the AO can enter or correct prior to approval by clicking on the "Modify Acctng" button. (See "Entering Accounting Data" in this guide.)
- Remarks: Click on the "Remarks" button and a screen will appear to enter remarks. (See "Entering Remarks" in this guide.)

Itinerary – This section records trip details for the authorized TDY. (See the **UTS Quick Reference Guide** for directions to complete the itinerary.)

Itinerary

Variations Authorized?

Date	Location	Trans Reason	Method	Group?	Quarters	Missing	Lodging	POC Miles
11/22/2001	DEP Topeka, KANSAS	PA						
11/22/2001	ARR Myrtle Beach, SOUTH CAROLINA	TD	LDP	CO	CM	\$118.00	2100	
11/01/2001	DEP Myrtle Beach, SOUTH CAROLINA	PA						
11/01/2001	ARR Topeka, KANSAS	MC				\$1.01	2100	

Buttons: Delete Log, Insert Log

Reimbursable Expenses

Nature of Expense	Amount Proposed
REGISTRATION FEE	\$250.00

Buttons: Insert Expense, Delete Expense

Choose one of the expense types from the list, or type a description of your own.

Buttons: Delete Travel Request, Print, Cancel, Return

Reimbursable Expenses – This section lists specific expenses and amounts requested by the traveler for reimbursement. The AO can enter or change the expenses and amounts to be approved. (See the **UTS Quick Reference Guide** for specific directions to complete the Reimbursable Expenses section.)

- Tip:** If there is no item in the drop down menu to match an expense; then the expense is not a reimbursable expense item. Only use items provided in the drop-down menu.
- Note:** To SAVE, press "Alt-R" or click on "Return" button. The form will be saved and UTS will return to the UTS Requests Requiring Action screen.

Reviewing a Request for Local 1164 Settlement -

Local Travel is claimed on **Standard From 1164** and under this circumstance, no per diem is payable.

- TONO: If the TONO is incorrect, the Local 1164 MUST be deleted and a new request submitted with the correct TONO. (See the "Delete Transactions" section of this guide.)
- Type of Claim: For first-time claim, the default is "Final". For a subsequent claim on the same TONO, the default should be "Supplemental".
- Funds Used: This will **ALWAYS** be "Coast Guard" unless; "The Yard" or "ARSC" is funding the orders. Contact the Funds Administrator/TONO issuing authority to verify.
- Method of Payment: The default is "Direct Deposit". The option of "Cash" is not authorized.

Local Travel - 1164

File View Other Info Help

GLYNN, JAMES G Local Travel

TONO: [redacted] Type of Claim: FINAL Method of Payment: DIRECTDEPOSIT
Funds Used: COASTGUARD

Local Expenses

Date	Type of Expense	Claimed	Location

Buttons: Delete Expense, Insert new Expense

Accounting Classification

Modify Acctng	a/c	r/d	appr	limit	alt	alc	prgelemt	cstacct	obj
	2					0			

Travel Order Number

Buttons: Delete, Remarks, Print, Cancel, Send to AO, Save

Note: For corrections, click on the applicable field and make the correction. To SAVE, press "Alt-S" or click on "Save" button.

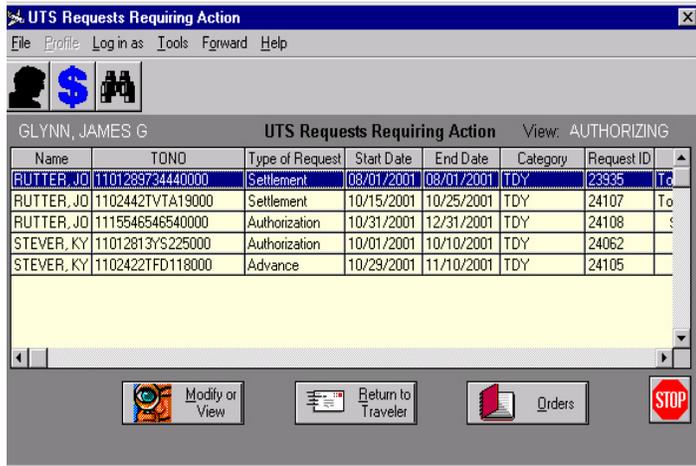
AO Options -

Returning a Travel Transaction -

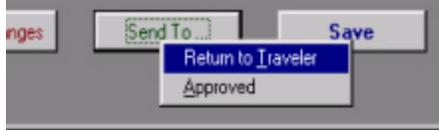
The **AO** will always have the option to return a travel transaction up until the time it is approved by the **AO**. Therefore, the **AO** shall ensure the transaction being approved is authorized, proper and correct.

The **AO** can return a travel transaction from any of the following screens:

- UTS Requests Requiring Action screen - Highlight the transaction to be returned and click on the "Return to Traveler" button. The "Return Request Remarks" screen will immediately appear. (See "Entering Remarks" section of this guide.)



- Request for TDY Advance screen - Click on the "Send To" button and select the "Return to Traveler" menu option. At the prompt asking to confirm the return (see below), select "Yes". The "Return Request Remarks" screen will immediately appear. (See "Entering Remarks" section of this guide.)



- Request for TDY Authorization screen - Click on the "Send To" button and select the "Return to Traveler" menu option. The "Return Request Remarks" screen will immediately appear. (See "Entering Remarks" section of this guide.)
- Request for TDY Settlement screen - Click on the "Send To" button and select the "Return to Traveler" menu option. At the prompt asking to confirm the return (see below), select "Yes". The "Return Request Remarks" screen will immediately appear. (See "Entering Remarks" section of this guide.)



Approving a Travel Transaction -

The **AO** will have the authority to approve TDY travel transactions in UTS. (See Page 2-B-12 of 3-PM for specific AO duties.)

The **AO** must open the travel transaction (see "Opening a UTS Transaction for Review") and approve from any of the following screens:

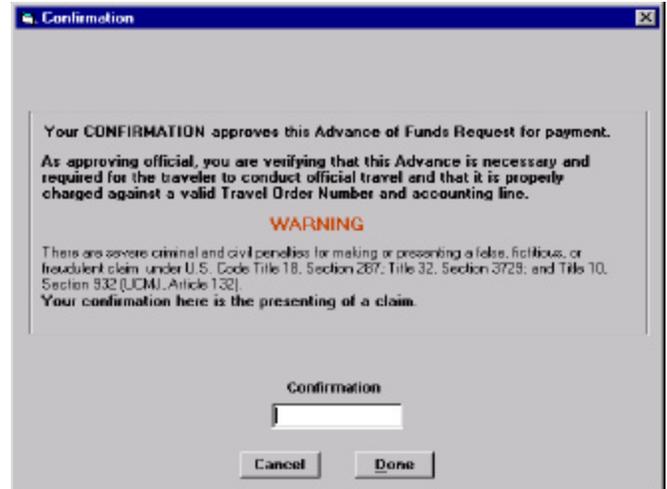
- Request for TDY Advance screen - Click on the "Send To" button and select the "Approved" menu option.



Approving a Travel Transaction — cont'd

- Request for TDY Authorization screen - Click on the "Send To" button and select the "Approved" menu option.
- Request for TDY Settlement screen - Click on the "Send To" button and select the "Approved" menu option.

When the "Approved" menu option is selected, the "Confirmation" screen will immediately appear to *authenticate* the transaction as being approved by the user. To confirm, enter the "Secondary Password" set in your user profile (see the **UTS Quick Reference Guide** for further guidance).



Click the **Done** button or press **Enter** to approve the transaction.

Canceling a Travel Authorization -

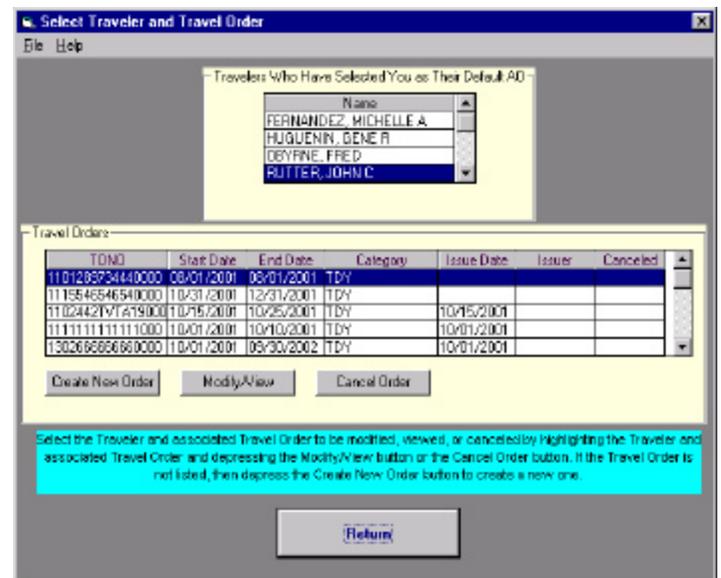
The **AO** has the option to CANCEL orders for those travelers who have set him/her as the "default AO" in their user profile. (See the **UTS Quick Reference Guide** for procedure travelers use to set the "default AO".)

Caution: The **AO** should NEVER attempt to cancel orders for which a "Request for TDY Settlement" or "Request for TDY Advance" has already been approved. When TDY orders are cancelled in UTS, ALL travel transactions associated with the TONO are deleted from the history.

At the "UTS Requests Requiring Action" screen, click the "Orders" button.

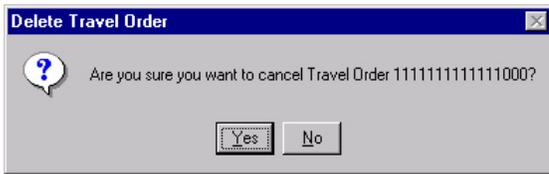


- At the top of the next screen, those travelers who currently have you assigned as the "default AO" in their user profile will appear.



Canceling a TDY Travel Authorization - Cont'd

- Highlight the traveler who's TDY orders are to be cancelled and the TONO history of the traveler will appear in the block below.
- Next, highlight the TONO to be cancelled and click the "Cancel Order" button.
- Click "Yes" at the prompt, if this is the TONO to be cancelled.



- The selected Travel Order line will change to **RED** to show it has been cancelled.

Other AO Options -

Creating a TDY Travel Authorization -

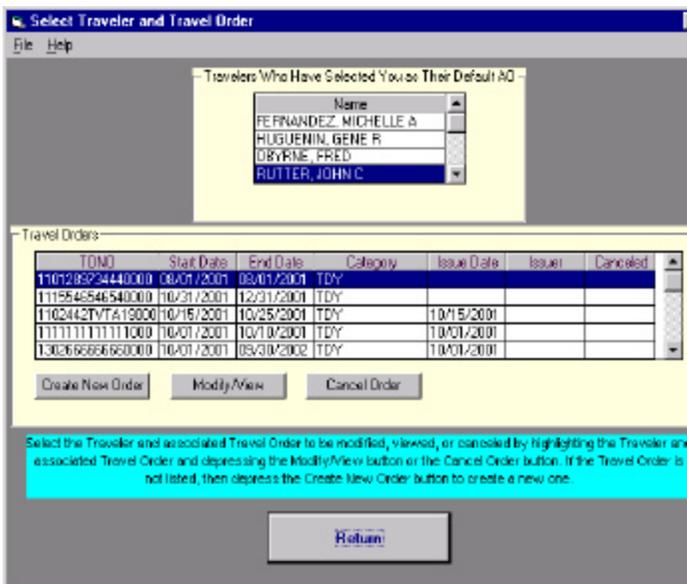
An AO may create TDY orders for traveler's who have him/her assigned as the "default AO" in their user profile

Note: An **Authorizing Official** may only create orders for those users who have designated them as their "**default AO**".

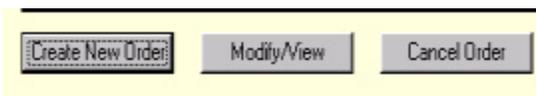
At the **UTS Requests Requiring Action** screen, click on the **Orders** button at the lower right-hand corner of the screen.



At the following screen, the top will show "**Travelers Who Have Selected You as Their Default AO**".

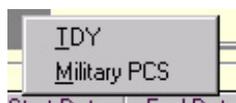


From this list, select the traveler for whom orders are to be created and click on **Create New Order**.



Note: The AO may also "Modify/View" or "Cancel Order" from here.

When selected, choose the **TDY** option from the menu.

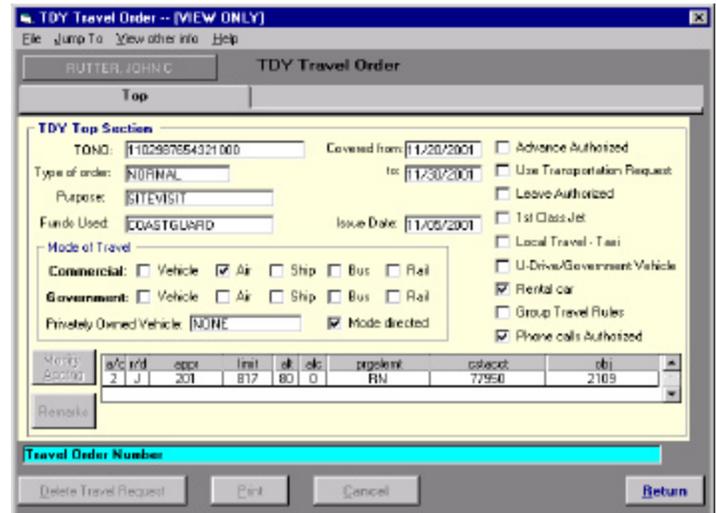


Creating a TDY Travel Authorization - Cont'd

When selected, the TDY Travel Order screen will appear. Enter the required information to complete the basic TDY order information (see **UTS Quick Reference Guide** for guidance). Use the drop-down HELP menu option for further instructions.

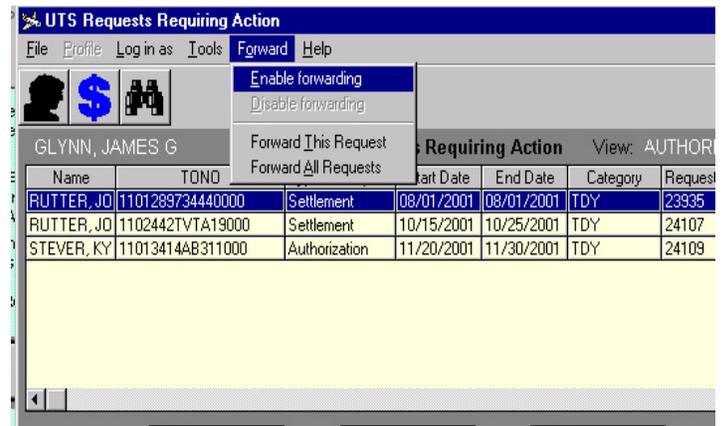
Note: **TDY Travel Order** screen has one (1) section. This is the basic order information. The traveler will complete the other two (2) section; **Itinerary**, and **Reimbursable Exp.** when received.

Note: For **BLANKET** orders, make sure the Covered To/From dates are for the whole period of the authorized TDY.



Forwarding TDY Travel Transactions -

An AO may forward a travel transaction to another AO or utilize the "auto-forwarding" option for forwarding all transactions received while out of the office.



From the "UTS Requests Requiring Action" screen, click on **Forwarding** at the top of the screen. There will be three (3) options:

- **Enable Forwarding** – This is the "out-of-office" auto-forwarding option that sets UTS to forward all transactions sent to you to another AO. When selected, a screen will appear that requires entering of the effective period and selecting of the AO to whom transactions will be forwarded. Enter the information and click **Done** to enable auto-forwarding.
- **Forward This Request** – This option allows the AO to forward a selected transaction. When selected the screen will only prompt to chose the new AO for the transaction. Chose the new AO and click **Done** to forward the transaction.
- **Forward ALL Requests** - This option allows the AO to forward all transactions currently displayed. When selected the screen will only prompt to chose the new AO for the current transactions. Chose the new AO and click **Done** to forward the transactions.

Data Entry -

Entering Remarks -

When returning any travel transaction to the traveler, UTS will require the **AO** to add **remarks** (i.e., explain why the orders, expense or amount of advance was modified). When "Return" is chosen from either menu (the UTS Request Requiring Action screen or the open transaction), the **Remarks Request** screen will automatically appear. **Enter** the remarks and when finished, **click** on **Return** button to **save**. The transaction will be returned to the traveler and the UTS Requests Requiring Action screen will reappear.

The **AO** may also add a **remark**, even though the transaction is being corrected and/or approved. A **Remarks** button will always appear on the input screen.

Click on **Remarks** button to access the **Remarks Request** screen.

Remarks

The screen will be a **Remarks** text box. **Enter** the remarks and when finished, **click** on **Return** button to **save**. The transaction will be approved/ready for transmission and the UTS Requests Requiring Action screen will reappear.

Note: Remarks are for the benefit of the **Traveler** and the **AO**. Remarks are not transmitted to HRSIC for viewing.

Entering Accounting Data

To create a travel transaction, an accounting appropriation is necessary to determine funds availability and to charge the appropriate account. For all transactions, the **Modify Actng** button will appear.

Modify Actng

Click on **Modify Actng** and perform one of the following actions:

- **Enter Appropriations**
- **Select a RAN Code** (if applicable)
- **Enter Accounting Remarks**

Press **Enter**, or **Tab** to advance to the next field and continue on.

When finished with all required fields, **click** on **Validate Accounting**.

Current Request Accounting Lines									
	a/c	s/d	appr	limit	alt fund	alc	prgelem	costacct	obj
2	Q	001		153	30	0	PP	47400	2101
*									

Note: If the accounting string has been entered correctly and is invalid by UTS, submit a trouble ticket at www.uscg.mil/hq/psc/customerservice.htm for research. Include the accounting string to be verified.

Accounting Maintenance –

This function allows the **AO** to enter or delete a unit assigned accounting string. This ensures that unit accounting strings are correct and validated in the UTS database prior to being used by the traveler. Use of this option by the **AO** will also eliminate many errors that result from travelers using incorrect and/or invalid accounting strings.

Name	TOND	Type of Request	Start Date	End Date
RUTTER, JO	1101289734440000	Settlement	08/01/2001	08/01/2001
RUTTER, JO	1102442TVTA19000	Settlement	10/15/2001	10/25/2001
STEVEY, KY	11013414AB311000	Authorization	11/20/2001	11/30/2001

From the "UTS Requests Requiring Action" screen, click on the "Tools" option at the top of the screen. Choose "Accounting Maintenance" from the drop-down menu that appears.

The next screen will prompt for the unit OPFAC to which the accounting string is assigned. **Enter** the 7-digit OPFAC and click the "Select" button.

At the next screen, enter the accounting string. Be sure to enter the alpha-numeric characters in the correct/corresponding fields. (See Page 2-B-49 of 3-PM for further guidance.)

Region	Approp	Limit	Allocation	Prog Element	Cost Acct	Obj Class

When completely entered, click the "Save Changes" button.

Click on "Main Menu" button to return to the "UTS Requests Requiring Action" screen.