

# *U.S. Coast Guard*

## *Port State Control Report of Inspection- Form A*

### *(CG-5437A)*

#### **Instructions**

The Port State Control Report of Inspection – FORM A is intended to provide documentation to the various parties associated with a foreign vessel and other port States on the outcome of an International Ship & Port Facility Security Code (ISPS compliance verification examination) or Port State Control (PSC) safety examination conducted by the U.S Coast Guard. A Report of Inspection – FORM A, and a Report of Inspection - FORM B if there are deficiencies, shall be completed for all ISPS & PSC compliance exams.

The following guidance is provided for completing FORM A.

**Block 4** – See inside cover for list of ship types.

**Blocks 13, 14, 15, 16 and 17**– Shall be completed when the vessel is being detained, expelled or denied entry.

**Block 15** – Only certificates that are related to deficiencies that are grounds for detention need to be listed (e.g. If the ship is being detained for deficiencies with the lifeboat, then the Safety Equipment Certificate should be listed.)

**Block 16** – If checked “yes”, complete FORM B (CG-5437B).

Copies should be provided to the vessel, flag State and/or recognized organization/ RSO /classification society only after it has been signed by the Master and/or vessel representative and the PSCO.

In the event of a detention, a copy of FORM A and FORM B shall be provided to the Flag State and Recognized Organization/RSO/Class Society.

In the event of a detention, both forms shall be scanned and emailed to G-MOC at [fldr-g-moc@comdt.uscg.mil](mailto:fldr-g-moc@comdt.uscg.mil) with the return receipt option checked. These forms also shall be emailed or faxed to flag state and owner/operator/charterer & classification society and RSO if possible. The scanned Form A & B shall be placed in MISLE under documents for historical reference.

## Activity Types

Code	Activity
PSC [type]	Port State Control Examination (Annual/Re-exam/Deficiency Check)
ISPS/MTSA	ISPS/MTSA Compliance Verification Examination
COC-CVE REN	Control Verification Examination (Renewal Exam)
COC-TVE REN	Certificate of Compliance/ Tank Vessel (Renewal Exam)
COC-CHEM REN	Certificate of Compliance/ Chemical Carrier (Renewal Exam)
COC-GAS REN	Certificate of Compliance/ Gas Carrier (Renewal Exam)
COC-CVE QTRLY	Control Verification Examination (Quarterly or Semi-Annual Exam)
COC-TVE ANN	Certificate of Compliance/ Tank Vessel (Annual Exam)
COC-CHEM ANN	Certificate of Compliance/ Chemical Carrier (Annual Exam)
COC-GAS ANN	Certificate of Compliance/ Gas Carrier (Annual Exam)
MAR*	MARPOL Examination
ISM *	ISM Examination
ILO*	ILO-147 Examination
LL*	Loadline Examination
STCW*	STCW Examination
BAL *	Ballast Water Examination

\* Do not need to record if completing in conjunction with a major examination

## Ship Types

IMO Code	Ships Types
11	Tankship (general)
12	Combination Carrier (e.g. OBO)
13	Oil Tankship
14	Vegetable Oil Tankship
20	Gas Carrier (general)
21	LPG Carrier
22	LNG Carrier
30	Chemical Tankship
40	Bulk Carrier
41	Cement Carrier
51	Barge Carrier
52	Vehicle Carrier
53	Containership
55	Ro-Ro Cargo Ship
60	General Dry Cargo Ship
61	Refrigerated Cargo Ship
71	Passenger Ship
72	Ice Breaker
73	Factory Ship
74	Research Ship
75	Heavy Load Carrier
76	Offshore Supply Ship
77	Rescue/Standby Ship
78	Cutter/Dredger
83	Towboat/Tug
99	Other

Dept. of Homeland Security U.S. COAST GUARD CG-5437A (5-2004)	<b>Port State Control Report of Inspection - FORM A*</b> In accordance with IMO Port State Control Procedures [Resolution A.787(19), as amended by Resolution A.882(21)] and the International Ship & Port Facility Security (ISPS) Code	MISLE Activity No.  Activity Type:
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1. Reporting Country: United States of America	2. Name of Ship:	
3. Flag of Ship:	4. Ship Type IMO Code:	5. Call Sign:
6. IMO Number:	7. Gross Tonnage:	8. Deadweight (where applicable):
9. Year of Build:	10. Date of Inspection:	11. Place of Inspection:
12. Classification Society:		13. Date of release from detention**:

**14. Ship Management Information:**

COFR Applicant: \_\_\_\_\_

Charterer: \_\_\_\_\_

ISM DOC Company: \_\_\_\_\_

Owner/Operator (if different than COFR or DOC): \_\_\_\_\_

**15. Relevant Certificates/Documents\*\*:**

a) name	b) issuing authority	c) dates of issue and expiry
1) _____	_____	_____
2) _____	_____	_____
3) _____	_____	_____
4) _____	_____	_____
5) _____	_____	_____
6) _____	_____	_____
7) _____	_____	_____

  

d) information on last intermediate or annual survey/audit**	date	surveying/auditing authority	place
1) _____	_____	_____	_____
2) _____	_____	_____	_____
3) _____	_____	_____	_____
4) _____	_____	_____	_____
5) _____	_____	_____	_____
6) _____	_____	_____	_____
7) _____	_____	_____	_____

Circle all applicable parts for 16-19 below:

**16. Deficiencies Identified:**      No      Yes      (if yes circled, see Form B (CG-5437B))

**17. Major Control Action:**      No      Detention      Expulsion      Denial of Entry

**18. Priority:**      PI      PII      NPV      ISPS I      ISPS II      ISPS III      Random

**19. Drills Conducted?**      No      Fire Drill      Abandon Ship Drill      ISPS/Security Related Drill

**Copy provided to:** \_\_\_\_\_ (printed name of Master/Vessel representative)      \_\_\_\_\_ (Signature)

**Name of PSCO:** \_\_\_\_\_ (printed name of duly authorized PSCO of reporting authority)      \_\_\_\_\_ (Signature)

Issuing Unit Name and Address:

**Copies forwarded to:**  
 Check as appropriate  
 Agent  
 Flag State  
 Recognized Organization  
 RSO  
 Ship Management

**Reviewed by Supervisor:**  
 (print name, sign and date)

\* This inspection report has been issued solely for the purposes of informing the master and other port States that an inspection by the port State, mentioned in the heading, has taken place. This inspection report cannot be construed as a seaworthiness certificate in excess of the certificate the ship is required to carry.  
 \*\* To be completed in the event of a detention.

### **Appeal Procedures**

(Reference: Title 33, Code of Federal Regulations, Section 160.7 and 101.420)

- (a) Any person directly affected by an order or direction, including a port State control detention, may request reconsideration by the Captain of the Port (COTP) who issued it or in whose name it was issued. This request may be made orally or in writing, and the decision of the official receiving the request may be rendered orally or in writing.
- (b) Any person directly affected by an order or direction issued by, or on behalf of, a COTP may appeal to the District Commander through the COTP. The appeal must be in writing, except as allowed under paragraph (d) of this section, and shall contain complete supporting documentation and evidence which the appellant wishes to have considered. The appellant is afforded five working days from the date of receipt to submit rebuttal materials. Following submission of all materials, the District Commander issues a ruling, in writing, on the appeal. Prior to issuing the ruling, the District Commander may, as a matter of discretion, allow oral presentation on the issues.
- (c) Any person who receives an unfavorable ruling on an appeal under paragraph (b) of this section may appeal through the District Commander to Commandant (G-MOC), U.S. Coast Guard, Washington, DC 20593. The appeal must be in writing, except as allowed under paragraph (d) of this section. The District Commander forwards the appeal, all the documents and evidence that formed the record upon which the order or direction was issued or the ruling under paragraph (b) of this section was made, and any comments, which might be relevant, to Commandant (G-MOC). A copy of this documentation and evidence is made available to the appellant. The appellant is afforded five working days from the date of receipt to submit rebuttal materials to Commandant (G-MOC). The decision of Commandant (G-MOC) is based upon the materials submitted, without oral argument or presentation. The decision of the Commandant (G-MOC) is issued in writing and constitutes final agency action.
- (d) If the delay in presenting a written appeal would have significant adverse impact on the appellant, the appeal under paragraphs (b) and (c) of this section may initially be presented orally. If an initial presentation of the appeal is made orally, the appellant must submit the appeal in writing within five days of the oral presentation to the Coast Guard official to whom the presentation was made. The written appeal must contain, at a minimum, the basis for the appeal and a summary of the material presented orally. If requested, the official to whom the appeal is directed may stay the effect of the action while the ruling is being appealed.

### **U.S COAST GUARD PORT STATE CONTROL**

For information on the U.S. Coast Guard's Port State Control (PSC) Program, you may visit our web site at: <http://www.uscg.mil/hq/g-m/pscweb/index.htm>