

COAST GUARD PERSONNEL SERVICE CENTER OER RATING CHAIN AND TRACKING DOCUMENT

COMDTINST M1000.3:

Article 5.A.2.e.(e)

The following personnel can be both Supervisor and Reporting Officer for their immediate subordinates: [1] Any officer, LT or above, who serves as a Commanding Officer, [2] Any civilian member of the Senior Executive Service (SES), or [3] Any O-5 or above (includes non-Coast Guard officers provided the officer meets the criteria stipulated in Article 5.A.2.e.(1)(i))

Article 5.A.2.e.(f)

Only a Coast Guard flag officer or Coast Guard SES may serve as both the Reporting Officer and Reveiwer on the same OER

Article 5.A.2.e.(g)

Reporting Officers for captains shall be a Coast Guard flag officer or SES

ROO LAST NAME	FIRST NAME	RANK	OFFICE	SUPERVISOR	REPORTING OFFICER	REVIEWER
CAPTAIN (O6), ANNUAL PERIOD ENDING 30 APRIL						
SINNETT	JOSEPH	CAPT	CMD	RADM MAY	RADM MAY	RADM HEWITT
RODRIGUEZ	RIC	CAPT	OPM	CAPT SINNETT	RADM MAY	RADM MAY
RYAN	MICHAEL	CAPT	OPM-A	CAPT RODRIGUEZ	RADM MAY	RADM MAY
MATHERS	DWIGHT	CAPT	EPM	CAPT SINNETT	RADM MAY	RADM MAY
NYE	STEPHEN	CAPT	RPM	CAPT SINNETT	RADM MAY	RADM MAY
O'KEEFE	JAMES	CAPT	PSD	CAPT SINNETT	RADM MAY	RADM MAY
THOMPSON	ROBERT	CAPT	BOPS	CAPT SINNETT	RADM MAY	RADM MAY
MCKENNA	ROBERT	CAPT	CSC	CAPT SINNETT	RADM MAY	RADM MAY
PHILLIPS	JOSEPH	CAPT	PPC	CAPT SINNETT	RADM MAY	RADM MAY
MATHIEU	LORI	CAPT	CGRC	CAPT SINNETT	RADM MAY	RADM MAY
USPHS OFFICERS, ANNUAL PERIOD ENDING 30 SEPTEMBER						
THOMAS	DANA	CDR	PSD-MED	CAPT O'KEEFE	RADM TEDESCO	
ROMAN	KIMBERLY	CDR	PSD-MED	CDR THOMAS	RADM TEDESCO	
COMMANDER (O5), ANNUAL PERIOD ENDING 31 MARCH						
FAY	MATTHEW	CDR	LSC WASHINGTON	CAPT SINNETT	CAPT ANDERSEN	RADM MAY
DOWNEY	CHARLENE	CDR	OPM-1	CAPT RYAN	CAPT RYAN	CAPT RODRIGUEZ
MOORE	NATHAN	CDR	OPM-2	CAPT RYAN	CAPT RYAN	CAPT RODRIGUEZ
GAVIN	KEVIN	CDR	OPM-3	CAPT RYAN	CAPT RYAN	CAPT RODRIGUEZ
NANCARROW	NICOLE	CDR	EPM-2	CAPT MATHERS	CAPT MATHERS	CAPT SINNETT
HANLEY	ROBERT	CDR	RPM-A	CAPT NYE	CAPT NYE	RADM MAY
EDWARDS	DAVE	CDR	PSD-FS	CAPT O'KEEFE	CAPT O'KEEFE	CAPT SINNETT

ROO LAST NAME	FIRST NAME	RANK	OFFICE	SUPERVISOR	REPORTING OFFICER	REVIEWER
FARRELL	THOMAS	CDR	PSD-DE	CAPT O'KEEFE	CAPT O'KEEFE	CAPT SINNETT
LECATO	JOSEPH	CDR	PSD-SS	CAPT O'KEEFE	CAPT O'KEEFE	CAPT SINNETT
MCDONALD	MATTHEW	CDR	PPC	MR. SULLIVAN	CAPT PHILLIPS	CAPT SINNETT
STAIER	MIKEAL	CDR	CGRC-D	CAPT MATHIEU	CAPT MATHIEU	CAPT SINNETT
DUNBAR	KATHRYN	CDR	CGRC-O	CDR STAIER	CAPT MATHIEU	CAPT SINNETT
FOX	WILLIAM	CDR	CSC	CAPT MCKENNA	CAPT MCKENNA	CAPT SINNETT
SMITH	ROBERT	CDR	PSSU ALAMEDA	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
BARTZ	POLLY	CDR	PSSU NEW ORLEANS	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
RICHTER	KURT	CDR	PSSU PORTSMOUTH	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
PALAZETTI	DAVID	CDR	PSSU BOSTON	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
SANDY	BERNARD	CDR	PSSU ALAMEDA	CDR SMITH	CDR SMITH	CAPT THOMPSON
GALMAN	MARIA	CDR	PSSU HONOLULU	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
GILL	PAUL	CDR	PSSU KODIAK	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
LIEUTENANT COMMANDER (O4), ANNUAL PERIOD ENDING 30 APRIL						
MACK	ERICA	LCDR	HR&A	CAPT SINNETT	CAPT SINNETT	RADM MAY
BROWN	MATTHEW	LCDR	OPM-1	CDR DOWNEY	CDR DOWNEY	CAPT RYAN
DECKER	SHAWN	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
ALONSO	RICARDO	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
O'BRIEN	SEAN	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
PHY	SCOTT	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
WITT	NORMAN	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
HEHR	CASEY	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
SCOFIELD	TYSON	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
HARDGRAVE	AMANDA	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
BATCHELDER	MICHAEL	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
MALZONE	JILLIAN	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
FREDIE	MICHAEL	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
HEHR	CASEY	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
WALSH	WILLIAM	LCDR	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
BATES	JONATHAN	LCDR	OPM-4	CAPT RYAN	CAPT RYAN	CAPT RODRIGUEZ
SORIANO	EDWARD	LCDR	EPM-1	CAPT MATHERS	CAPT MATHERS	CAPT SINNETT
MATADOBRA	STEPHEN	LCDR	EPM-2	CDR NANCARROW	CDR NANCARROW	CAPT MATHERS
TOVES	SCOTT	LCDR	RPM-1	CDR HANLEY	CDR HANLEY	CAPT NYE
HEFFELFINGER	CHRISTA	LT	RPM-3	CDR HANLEY	CDR HANLEY	CAPT NYE
HOWELL	RICHARD	LCDR	RPM-2	CDR HANLEY	CDR HANLEY	CAPT NYE
REIMAN	AARON	LCDR	PSD-DE	CDR FARRELL	CDR FARRELL	CAPT O'KEEFE
TUTALO	PATRICIA	LCDR	PSD-FS	CDR EDWARDS	CDR EDWARDS	CAPT O'KEEFE
FERN	CHRISTINE	ICDR	BOPS	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT

ROO LAST NAME	FIRST NAME	RANK	OFFICE	SUPERVISOR	REPORTING OFFICER	REVIEWER
KARPINSKI	BEN	LCDR	PSSU ST. LOUIS	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
PICKRELL	KRISTIAN	LCDR	PSSU KETCHIKAN	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
SINCLAIR	JENNIFER	LCDR	PSSU WASHINNGTON	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
LIEUTENANT (O3), ANNUAL PERIOD ENDING 31 MAY						
LIBRANDO	DANIEL	LT	HR&A	LCDR MACK	CAPT SINNETT	RADM MAY
SITES	NICOLAS	LT	OPM-1	LCDR BROWN	CDR DOWNEY	CAPT RYAN
CAMERON	JASON	LT	OPM-1	CDR DOWNEY	CDR DOWNEY	CAPT RYAN
WILL	MATTHEW	LT	OPM-1	CDR DOWNEY	CAPT RYAN	CAPT RODRIGUEZ
WORST	NICHOLAS	LT	OPM-1	CDR DOWNEY	CDR DOWNEY	CAPT RYAN
VERA	OSVALDO	LT	OPM-3	CDR GAVIN	CDR GAVIN	CAPT RYAN
BARBEAU	JESSICA	LT	OPM-4	LCDR BATES	CAPT RYAN	CAPT RODRIGUEZ
WHISLER	BRIAN	LT	EPM-2	CDR NANCARROW	CDR NANCARROW	CAPT MATHERS
HAHN	KRISTEN	LT	EPM-2	CDR NANCARROW	CDR NANCARROW	CAPT MATHERS
BRASS	MARK	LT	EPM-2	CDR NANCARROW	CDR NANCARROW	CAPT MATHERS
MORRISON	FRANK	LT	EPM-2	CDR NANCARROW	CDR NANCARROW	CAPT MATHERS
YOUNKLE	ANDREW	LT	RPM-1	LCDR TOVES	CDR HANLEY	CAPT NYE
MEADERS	ERIC	LT	RPM-1	LCDR TOVES	CDR HANLEY	CAPT NYE
REMUSAT	TODD	LT	RPM-2	LCDR HOWELL	CDR HANLEY	CAPT NYE
WEBSTER	JESSE	LT	RPM-2	LCDR HOWELL	CDR HANLEY	CAPT NYE
SIMMONS	KEEDAH	LT	RPM-2	LCDR HOWELL	CDR HANLEY	CAPT NYE
MCKNIGHT	JAMES	LT	RPM-2	LCDR HOWELL	CDR HANLEY	CAPT NYE
WALSH	TERRENCE	LT	PSD-FS	LCDR TUTALO	CDR EDWARDS	CAPT O'KEEFE
ZOLNIEREK	MATTHEW	LT	PSD-SS	CDR LECATO	CDR LECATO	CAPT O'KEEFE
LONGANECKER	CARLA	LT	PSD-DE	LCDR REIMAN	CDR FARRELL	CAPT O'KEEFE
ADCOCK	AUSTIN	LT	PSSU CLEVELAND	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
ROTT	DAVID	LT	PSSU SAN PEDRO	CAPT THOMPSON	CAPT THOMPSON	CAPT SINNETT
LIEUTENANT JUNIOR GRADE (O2), SEMI-ANNUAL PERIOD ENDING 31 JANUARY OR 31 JULY						
PIEPER	DANA	LTJG	RPM-1	LCDR TOVES	CDR HANLEY	CAPT NYE
CARLSON	STONIE	LTJG	PSD-SS	LT ZOLNIEREK	CDR LECATO	CAPT O'KEEFE
ENSIGN (O1) - SEMI-ANNUAL PERIOD ENDING 31 MARCH OR 30 SEPTEMBER						
HOWES	KATIE	ENS	PSD-SS	LT ZOLNIEREK	CDR LECATO	CAPT O'KEEFE
CHIEF WARRANT OFFICER (W4), ANNUAL PERIOD ENDING 30 APRIL						
TURNER	DEBORAH	CWO4	HR&A	LCDR MACK	CAPT SINNETT	RADM MAY

ROO LAST NAME	FIRST NAME	RANK	OFFICE	SUPERVISOR	REPORTING OFFICER	REVIEWER
FITCHETT	JERRY	CWO4	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
GAROFALO	ALBERTO	CWO4	EPM-2	LT HAHN	CDR NANCARROW	CAPT MATHERS
DALEE	TREVOR	CWO4	EPM-2	LT HAHN	CDR NANCARROW	CAPT MATHERS
MOORE	SCOTT	CWO4	EPM2	LT HAHN	CDR NANCARROW	CAPT MATHERS
WHITLOCK	CHRIS	CWO4	EPM-2	LT MORRISON	CDR NANCARROW	CAPT MATHERS
MEEK	DANIEL	CWO4	RPM-2	LCDR HOWELL	CDR HANLEY	CAPT NYE
SAVILLE	RANDY	CWO4	PSD-FS	MR. CANFIELD	CDR EDWARDS	CAPT O'KEEFE
SANDERS	TERESA	CWO4	PSSU BOSTON	CDR PALAZZETTI	CDR PALAZZETTI	CAPT THOMPSON
STONE	ALAN	CWO4	PSSU BOSTON	CDR PALAZZETTI	CDR PALAZZETTI	CAPT THOMPSON
CHIEF WARRANT OFFICER (W3), ANNUAL PERIOD ENDING 31 JULY						
CREECH	STEVEN	CWO2	OPM-2	CDR MOORE	CDR MOORE	CAPT RYAN
ARGIBAY	OTTO	CWO3	OPM-3	CDR GAVIN	CDR GAVIN	CAPT RYAN
WEAVER	WILLIAM	CWO3	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS
FUESTEL	NEAL	CWO3	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS
SWANSON	ROBERT	CWO3	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS
AMADOR	LUIS	CWO3	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS
SCHAFFER	ROBERT	CWO3	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS
CUMMINGS	ZACKERY	CWO3	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS
JOHNSON	DANIEL	CWO3	EPM-2	LT HAHN	CDR NANCARROW	CAPT MATHERS
HAGGERTY	CASH	CWO2	EPM-2	LT HAHN	CDR NANCARROW	CAPT MATHERS
CHAPMAN	STEVEN	CWO3	EPM-2	LT WHISLER	CDR NANCARROW	CAPT MATHERS
DIGNAN	JAMES	CWO3	EPM-2	LT WHISLER	CDR NANCARROW	CAPT MATHERS
PIONTEK	MARK	CWO3	EPM-2	LT MORRISON	CDR NANCARROW	CAPT MATHERS
MYERS	JEFFREY	CW03	EPM-2	LT MORRISON	CDR NANCARROW	CAPT MATHERS
ANTUNA	MARTIN	CWO3	EPM-2	LT BRASS	CDR NANCARROW	CAPT MATHERS
PEPPER	LEAH	CWO2	EPM-2	LT BRASS	CDR NANCARROW	CAPT MATHERS
ADAMS	CHAD	CWO3	EPM-2	LT BRASS	CDR NANCARROW	CAPT MATHERS
VARNER	ANTONIO	CWO3	PSD-FS	LCDR TUTALO	CDR EDWARDS	CAPT O'KEEFE
DE LONG	MICHAEL	CWO3	PSD-FS	MR. CANFIELD	CDR EDWARDS	CAPT O'KEEFE
ENNIS	ROCKWOOD	CWO3	PSD-MU	MR. BREWTON	CAPT O'KEEFE	CAPT SINNETT
MORALES	RENE	CWO3	PSSU KETCHIKAN	LCDR PICKRELL	LCDR PICKRELL	CAPT THOMPSON
GARCIA	JOHNNY	CWO3	PSSU E-CITY DD	BASE CO	BASE XO	CAPT THOMPSON
CHIEF WARRANT OFFICER (W2), ANNUAL PERIOD ENDING 30 JUNE						
RAMIREZ	JAIME	CWO2	OPM-1	LT WORST	CDR DOWNEY	CAPT RYAN
ROLDAN	THERESA	CWO2	OPM-1	LCDR BROWN	CDR DOWNEY	CAPT RYAN
SATTERFIELD	JENEEN	CWO2	EPM-1	LCDR SORIANO	CDR NANCARROW	CAPT MATHERS
BROWN	JEFFREY	CWO2	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS

ROO LAST NAME	FIRST NAME	RANK	OFFICE	SUPERVISOR	REPORTING OFFICER	REVIEWER
BURKE	STEPHEN	CWO2	EPM-2	LCDR MATADOBRA	CDR NANCARROW	CAPT MATHERS
LINKLATER	DOUGLAS	CWO2	EPM-2	LT HAHN	CDR NANCARROW	CAPT MATHERS
DARLING	DAVID	CWO2	EPM-2	LT BRASS	CDR NANCARROW	CAPT MATHERS
JENNINGS	FRANK	CWO3	EPM-2	LT BRASS	CDR NANCARROW	CAPT MATHERS
HATCH	CHRIS	CWO2	EPM-2	LT MORRISON	CDR NANCARROW	CAPT MATHERS
JOHANNESSEN	GREGORY	CWO2	EPM-2	LT MORRISON	CDR NANCARROW	CAPT MATHERS
WORKMAN	MITCH	CWO2	EPM-2	LT MORRISON	CDR NANCARROW	CAPT MATHERS
WINSTEIN	DOUGLAS	CWO2	EPM-2	LT MORRISON	CDR NANCARROW	CAPT MATHERS
THOMPSON-YANCEY	LUCILLE	CWO2	RPM-1	LCDR TOVES	CDR HANLEY	CAPT NYE
WASHBURN	DAVID	CWO2	PSD-FS	LCDR TUTALO	CDR EDWARDS	CAPT O'KEEFE
THOMPSON	CHARLES	CWO2	PSD-FS	LCDR TUTALO	CDR EDWARDS	CAPT O'KEEFE
PETRO	JOHN	CWO2	PSD-MU	MR. BREWTON	CAPT O'KEEFE	CAPT SINNETT
RUDD	DAVID	CWO2	PSD-MU	MR. BREWTON	CAPT O'KEEFE	CAPT SINNETT
ALVAREZ	OMAR	CWO2	BOPS	MR. NUBGAARD	CAPT THOMPSON	CAPT SINNETT
CURRY	CHARLENE	CWO2	BOPS	MR. NUBGAARD	CAPT THOMPSON	CAPT SINNETT



OER ROUTING FORM

End of Reporting Period: _____
OER Reason: _____
Reported-On Officer (ROO): _____
Any upcoming board or panels? YES NO (circle one) **Date:** _____
Supervisor: _____
Reporting Officer: _____
Reviewer Name: _____

REQUIRED ACTION DUE DATES

Who	Action	Date Due	Date Complete	Initial
Reported-On Officer (ROO)	Fwd input to Supervisor			
Supervisor	OER fwd to Reporting Officer.			
Reporting Officer(RO)	OER fwd to Reviewer (ensure supervisor and RO digitally sign OER)			
Reviewer	1. Fwd digitally signed OER to RO for ROO's counseling/signature.			
Supervisor/RO	1. Fwd digitally signed OER to OPM-3/RPM-3 (for reserve members)			
Division/Staff	Update OER Tracking document to reflect OER submission date.			

COMMENTS:

Instructions: In accordance with CG PSCINST 1611.1 (series), this form shall be attached as the cover sheet to a red OER folder to route OER documents through the OER rating chain within CG PSC.

1. OERs shall be electronically routed between members of the rating chain. If not possible hand-carry.
2. ROO prepares OER input as directed by PERSMAN & supervisor, prepares top section of routing form, and forwards to supervisor by due date.
3. Supervisor performs OER responsibilities and forwards OER to Reporting Officer by due date.
4. Reporting Officer performs OER responsibilities and forwards OER to Reviewing Officer by due date. RO ensures OER is digitally signed (or signed in ink) by supervisor and RO.
5. Reviewer performs OER responsibilities, digitally signs OER, electronically routes back to RO for ROO counseling/signature.
6. RO/Supervisor forwards digitally signed OER to OPM-3/RPM-3
7. Division/Staff updates OER tracking document and retains the Routing Form.



OER ROUTING FORM

End of Reporting Period: 31 March
 OER Reason: Regular Annual
 Reported-On Officer (ROO): CDR SAM JONES
 Any upcoming board or panels? YES NO (circle one) Date: _____
 Supervisor: CAPT ROBINSON
 Reporting Officer: CAPT SMITH
 Reviewer Name: CAPT GARCIA

REQUIRED ACTION DUE DATES

Who	Action	Date Due	Date Complete	Initial
Reported-On Officer (ROO)	Fwd input to Supervisor	10 Mar	3/14	SJ
Supervisor	OER fwd to Reporting Officer.	10 Apr	4/10	BR
Reporting Officer(RO)	OER fwd to Reviewer (ensure supervisor and RO digitally sign OER)	30 Apr	5/3*	HS
Reviewer	1. Fwd digitally signed OER to RO for ROO's counseling/signature.	07 May	5/8	RG
Supervisor/RO	1. Fwd digitally signed OER to OPM-3/RPM-3 (for reserve members)	10 May	5/10	MRV
Division/Staff	Update OER Tracking document to reflect OER submission date.	10 May	5/10	EDN

COMMENTS:

* OER delayed to extended absence due to illness - HS

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3. Supervisor performs OER responsibilities and forwards OER to Reporting Officer by due date.
4. Reporting Officer performs OER responsibilities and forwards OER to Reviewing Officer by due date. RO ensures OER is digitally signed (or signed in ink) by supervisor and RO.
5. Reviewer performs OER responsibilities, digitally signs OER, electronically routes back to RO for ROO counseling/signature.
6. RO/Supervisor forwards digitally signed OER to OPM-3/RPM-3
7. Division/Staff updates OER tracking document and retains the Routing Form.

REGULAR OER SUBMISSION SCHEDULE
(Submissions required no later than the dates indicated below)

<u>Reported-On Officer (ROO)</u>	<u>End of Reporting Period</u>	<u>ROO Input Due to Supervisor</u>	<u>OER due to Reporting Officer</u>	<u>OER Due to Reviewer</u>	<u>OER due to opm-3 Route Form due to HR&A</u>
CAPT (O-6)	30 Apr	10 Apr	10 May	30 May	10 Jun
CDR (O-5)	31 Mar	10 Mar	10 Apr	30 Apr	10 May
LCDR (O-4)	30 Apr	10 Apr	10 May	30 May	10 Jun
LT (O-3)	31 May	10 May	10 Jun	30 Jun	10 Jul
LTJG (O-2)	31 Jan 31 Jul	10 Jan 10 Jul	10 Feb 10 Aug	02 Mar 30 Aug	10 Mar 10 Sep
ENS (O-1)	31 Mar 30 Sep	10 Mar 10 Sep	10 Apr 10 Oct	30 Apr 30 Oct	10 May 10 Nov
CWO4	30 Apr	10 Apr	10 May	30 May	10 Jun
CWO3	31 Jul	10 Jul	10 Aug	30 Aug	10 Sep
CWO2	30 Jun	10 Jun	10 Jul	30 Jul	10 Aug