

13 March 08

**PROPOSED FUNCTIONAL STATEMENTS  
FOR  
CG-51, CG-513, CG-513-1, CG-513-2**

**DIRECTOR OF ASSESSMENT, INTEGRATION AND RISK MANAGEMENT  
(CG-51)**

1. **Functions:** Under the general direction and supervision of the Assistant Commandant for Marine Safety, Security and Stewardship, the Director of Assessment, Integration and Risk Management shall:
  - a. (CG-513) Integrate and coordinate internal and external policies, plans, processes and doctrine.
  - b. (CG-513) Lead the development and provide oversight for Coast Guard cross-directorate policies and processes as required and transfer implementation to the designated offices.
  - c. (CG-513) Develop and manage an enterprise-wide doctrinal architecture.
  - d. (CG-513) Integrate and coordinate internal and external doctrine.
  - e. (CG-513) Serve as the Coast Guard's point of contact for National, DHS and Interagency, as appropriate, for coordination and integration of nascent and cross-directorate policies, plans, processes and doctrine.
  - f. (CG-513) Serve as the Oceans Policy Advisor to the Commandant.

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**OFFICE OF POLICY INTEGRATION  
CG-513**

1. **Functions:** Under the direction and supervision of the Director of Assessment, Integration and Risk Management, the Chief, Office of Policy Integration shall:
  - a. Integrate and coordinate internal and external policies, plans, processes and doctrine.
  - b. Lead the development and provide oversight for Coast Guard cross-directorate policies and processes as required and transfer implementation to the designated offices.
  - c. Develop and manage an enterprise-wide doctrinal architecture.
  - d. Integrate and coordinate internal and external doctrine.
  - e. Serve as the Coast Guard's point of contact for National, DHS and Interagency, as appropriate, for coordination and integration of nascent and cross-directorate policies, plans, processes and doctrine.
  - f. Serve as the Oceans Policy Advisor to the Commandant.

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**DIVISION OF POLICY AND PLANS INTEGRATION  
CG-513-1**

1. **Functions:** Under the direction and supervision of the Chief, Office of Policy Integration, CG-513-1 shall:
  - a. Integrate and coordinate internal and external policies and plans.
  - b. Lead the development and provide oversight for Coast Guard cross-directorate policies as required and transfer implementation to the designated offices.
  - c. Serve as the Coast Guard's point of contact for National, DHS and Interagency as appropriate, for coordination and integration of policies and plans.
  - d. Serve as the Oceans Policy Advisor to the Commandant.

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**DIVISION OF PROCESSES AND DOCTRINE INEGRATION**  
**CG-513-2**

1. **Functions:** Under the direction and supervision of the Chief, Office of Policy and Integration, CG-513-2 shall:
  - a. Integrate and coordinate internal and external processes and doctrine.
  - b. Lead the development and provide oversight for Coast Guard cross-directorate processes as required and transfer implementation to the designated offices.
  - c. Develop and manage an enterprise-wide doctrinal architecture.
  - d. Integrate and coordinate internal and external doctrine.
  - e. Serve as the Coast Guard's point of contact for National, DHS and Interagency, as appropriate, for coordination and integration of nascent and cross-directorate processes and doctrine.

**OFFICE OF COUNTERTERRORISM AND DEFENSE OPERATIONS  
(CG-532)**

1. Under the general direction and supervision of the Director of Response Policy, the Chief, Office of Counterterrorism and Defense Operations shall:
  - a. Serve as the program manager of the Defense Operations Program. Develop and recommend policy to support the Naval Coastal Warfare (NCW) mission area. Oversee Coast Guard participation in the NCW organization. Manage the Port Security Unit (PSU) program, coordinating all aspects of standardization, training, equipage, deployment, logistics and maintenance. Manage program budget and related RPs. Create, research, update, and administer Required Operating Capabilities/Projected Operating Environment (ROC/POE) and other NCW-related doctrine.
  - b. Serve as primary Coast Guard Service Planner for the JCS Operations Planners Group. Serve as USCG liaison to the Secretary, Joint Staff (SJS) and the Office of the Director, Joint Staff (DJS). Act as primary Coast Guard Principal Planning Agent (PPA) for other Military Services, Joint Staff, and the Office Secretary of Defense (OSD) (except ASD SO/LIC) in coordination with CG members assigned to various OSD, Joint Staff, and OPNAV staff elements.
  - c. Actively develop service and joint military strategy and policy documents. Shape joint military strategic thinking for maritime homeland defense, maritime homeland security and expeditionary warfare.
  - d. Serve as Coast Guard Headquarters sponsor and OER reviewer for specified Coast Guard liaison officers assigned to DHS (DNDO) and DOD organizations, Coast Guard/Navy Exchange afloat officers, and Command and Staff course students at DOD service schools. Serve as member of Command and Staff College selection panel.
  - e. Represent the Director of Response Policy as voting member on USCG Force Protection Board.
  - f. Serve as Program Manager and primary POC for MHS matters and the Ports, Waterways, and Coastal Security (PWCS) mission Policy. Conduct near-term, routine and surge PWCS operational planning and support deliberate PWCS strategic planning efforts. Coordinate service MHS strategic planning and policy development
  - g. Serve as program manager for Area Maritime Security Plan (AMSP) Program. Oversee and direct policy formulation and field guidance for the development of AMSP and National Port Readiness Program Steering Committee.

- h. Coordinate with CG-54 and CG-533 regarding National Maritime Security Advisory Committee and Area Maritime Security Committee planning, budget, and exercise policies and programs.

**NATIONAL DEFENSE STRATEGY DIVISION  
(CG-5321)**

1. Under the direction and supervision of the Chief, Office of Counterterrorism and Defense Operations, the Chief, National Defense Strategy Division shall:
  - a. Represent the Coast Guard within the Joint Planning and Execution Community. Review and maintain all Department of Defense (DOD)/Combatant Commander (COCOM) contingency plans requiring Coast Guard forces. Support COCOM - Coast Guard Principal Planning Agent (PPA) contingency planning efforts. Conduct crisis action, deliberate, and adaptive planning for national defense missions.
  - b. Coordinate the development of planning guidance, planning factors and mission standards along with other Response elements, to assist Coast Guard commanders in preparing required national defense plans. Plans and tasks include the Joint Strategic Capabilities Plans, Naval Capabilities and Mobilization Plan, Universal Joint Task Lists, Joint Mission Essential Task Lists, and Naval Mission Essential Task Lists.
  - c. Translate strategic policy guidance into operational requirements for all naval warfare areas and combat systems. Coordinate with appropriate Joint Staff, Navy Staff, CG-7 and other appropriate CGHQ directorates, transforming requirements into capabilities, improving Joint and service capabilities to support National Security Strategy, the National Military Strategy, the Homeland Security Strategy and COCOM contingency plans. Create or validate Naval Operational Capabilities, Operational Requirements Documents, ROCs/POEs to support defense operations-related missions. Promote the National Fleet Policy Statement; provide oversight to and serve as service advocate for interoperability with the U.S. Navy and DOD. Provide programmatic input to capability/facility management processes to fill requirements.
  - d. Provide policy and guidance to operational and support commanders on the allocation of resources and priorities to achieve national defense program goals.
  - e. Serve as lead agent for issues related to force structure, modernization, readiness and sustainability of Coast Guard support to DoD and the National Military Strategy.
  - f. Coordinate all aspects of service participation in Navy-Coast Guard Board and Warfighter Talks.

- g. Monitor operational effectiveness (outcomes) of Coast Guard resources in support of the Defense Operations program. Develop program measures of effectiveness and analyze relevant data and alternatives required to support budgetary and policy decisions necessary to achieve program goals.
- h. Coordinate input and review of Standing Rules of Engagement (SROE).
- i. Serve as program manager and sponsor for Out of Hemisphere cutter/aircraft deployments that support COCOMs.
- j. Serve as Program Sponsor and Manager for the SSBN Transit Protection System (TPS) Program includes fielding a robust surface force and other operational capabilities. Develop CONOPs, TTPs, ROC/POE, RFO, and other programmatic documents in conjunction with the U.S. Navy, Area and District commanders to establish two Maritime Force Protection Units (MFPUs). Manage the two MFPUs supporting the TPS, to include coordinating all aspects of standardization, training, equipage, deployment, logistics and maintenance. Manage program OFCOs, budget and related RPs.
- k. Oversee the Coast Guard Joint Actions Coordinating Office (CG JACO), responsible for the administrative handling of all JCS matters within the Coast Guard. Assist other CGHQ staff elements with coordinating Joint Actions. Inform the Commandant, Vice Commandant, OPSDEP, and DEPOPSDEP of JCS agenda items, coordinate invitations to and prepare senior Coast Guard leadership for JCS meetings.
- l. Identify the requirement for, and coordinate the assignment of, Coast Guard members to Joint Chiefs of Staff (JCS) Crisis Organizations.
- m. Coordinate the review and approval of Coast Guard inputs to joint, allied and naval doctrine including governing policy guidance and directives. Oversee distribution of joint, allied and naval doctrine publications to appropriate operational and supporting commanders. Serve as the Coast Guard's voting member in the Joint Doctrine Development Community. Represent Coast Guard interests in doctrine community conferences, working groups and other events.
- n. Direct and coordinate Coast Guard Wargaming and serve as a voting member on the Service/COCOMs War Game Summit Group. Coordinate service participation in joint service concepts, transformation and experimentation efforts with U.S. Joint Forces Command (J9) and the Services. Coordinate service representation and participation in Coast Guard wargames, DoD Title X Service wargames, COCOM sponsored wargames, and DHS sponsored gaming events where Coast Guard is deemed essential and significant.

- o. Develop, coordinate and apply U.S. Coast Guard operational level concepts for joint DOD, DHS, and service wargaming use, and recommend new programs, doctrine and procedures derived from concept development and associated wargaming. Coordinate service participation and represent the Coast Guard in US. Joint Forces Command and service concept development programs. Represent and advance US Coast Guard concepts and doctrine in relevant joint and service programs and documents.
- p. Revise USN/USCG NCW relationship reflecting the new NECC relationship.
- q. Manage assigned SELRES personnel drill and ADT orders. Validate clearances.
- r. Stand crisis action team watches at NMCC for exercises and real world events. Identify personnel for assignment to the watch list for CG LNO to National Military Command Center (NMCC).
- s. Review requirements documents from DoD, DHS and other federal agencies. Create/Update various requirement, operational, and capabilities documents as well as Joint, Naval, and Coast Guard Task Lists to shape Coast Guard Sensor/C4ISR Mission sets. Serve as a member of the Permanent Joint Working Group to foster Navy & Coast Guard interoperability.
- t. Serve as Program Manager for the Weapons of Mass Destruction (WMD) Program. Review current National, DHS and DOD WMD strategy and policy documents. Assess and improve the Coast Guard's capability to meet operational requirements associated with the threatened, suspected, or confirmed use of WMD or intentional release of toxic industrial chemicals or toxic industrial materials resulting from terrorist acts. Manage the WMD program, coordinating all aspects of standardization, training, equipment, deployment, logistics and maintenance. Create and manage WMD program budget and related RPs.
- u. Assist the Force Protection (FP) program manager in developing operational policy, standards and doctrine for Coast Guard field units commensurate with the Navy and Joint community. Actively liaise with COCOMS to ensure Coast Guard force protection capabilities are interoperable and DOD-compliant, facilitating CG force deployments overseas in support of DOD missions.
- v. Serve as Program Manager for Chemical, Biological, Radiological and Nuclear Defense (CBRN-D) Expeditionary Forces Policy. Act as primary POC for the Joint Requirements Office for Chemical, Biological, Radiological and Nuclear Defense (JRO-CBRN). Review current DOD strategy and policy statements to ensure the Coast Guard can meet operational requirements associated with supporting DOD missions.

**MARITIME SECURITY (ANTITERRORISM) DIVISION  
(CG-5322)**

1. Under the direction and supervision of the Chief, Office of Counterterrorism and Defense Operations, the Chief, Maritime Security (Antiterrorism) Division shall:
  - a. Serve as Program Manager and primary POC for MHS matters and the Ports, Waterways, and Coastal Security (PWCS) mission for Operations Policy. Conduct near-term, routine and surge PWCS operational planning and support deliberate PWCS strategic planning efforts. Coordinate service MHS strategic planning and policy development with appropriate DHS, CGHQ (CG-5) and Area staff elements, COCOMs and the Joint Staff. Oversee the process of formalizing agreements between the CG and DOD relating to HLS strategy.
  - b. Develop, recommend and coordinate policy for MHS issues and the PWCS program with appropriate CGHQ directorates and offices as required by national security and homeland security directives, Executive Orders, Federal Regulations, and DOD CONOPS and campaign plans. Assist and collaborate with lead offices for Maritime Domain Awareness (MDA) and Maritime Security Regime to ensure comprehensive strategy, policy and planning efforts in response to changes in security assessments and risk analysis. Coordinate PWCS efforts with DHS (Policy), DHS (I&A), DNDO, CBP, ICE, USSS, Navy, and OGAs. Participate in DHS Strategic Homeland Infrastructure Risk Assessment.
  - c. Serve as lead agent for Coast Guard Operation Neptune Shield (ONS) Operation Order. Coordinate with appropriate CGHQ Offices for all changes in MARSEC level. Periodically update the ONS OPORD and draft EXORDs for special missions or events as required. Direct, manage and provide oversight for plans, activities, standards and metrics related MHS and ONS, includes; development and implementation of ONS Scorecard (ONSS) and National Response Options Matrix (NROM). Assess and make recommendation to appropriate HQs Offices for the improvement of Coast Guard capabilities to meet operational requirements associated with the threats in the MHS environment.
  - d. Manage the web-based Scorecard for Operation NEPTUNE SHIELD, provide training, lessons learned and migrate it to MISLE. Provide Quarterly CG-wide Scorecard reports to Commandant.
  - e. Manage the USCG National Response Options Matrix (NROM) to include coordination, liaison and use or expansion to OGAs. Oversee development of changes to the NROM based on current risk assessment and analysis.
  - f. Assist and collaborate with lead offices for Maritime Domain Awareness (MDA) and Maritime Security Regime to ensure comprehensive strategy,

policy and planning efforts in response to changes in security assessments and risk analysis.

- g. Serve as program manager for Area Maritime Security Plan program and oversee / direct policy formulation and field guidance for the development of Area Maritime Security Plans. Coordinate with CG-54 and CG-5332 regarding National Maritime Security Advisory Committee and Area Maritime Security Committee planning and exercise policies and programs.
- h. Serve as Program Manager for Ports, Waterways, and Coastal Security Contingency Planning Program in coordination with other appropriate Headquarters Directorates and Offices.
- i. Serve as CG member of the National Port Readiness Network (NPRN) Working Group, and in coordination with NPRN members, develop strategic guidance on port readiness for military Seaports of Embarkation (SPOEs in coordination with other appropriate Headquarters Directorates and Offices.) Serve as program manager for the Port Readiness Program, including oversight of Port Readiness Committees in coordination with other appropriate Headquarters Directorates and Offices.
- j. Manage Coast Guard Operation Noble Eagle (ONE) mission requirements and interagency relationships. Coordinate and liaison with DOD, DHS, DOT, DOJ and other federal agencies to provide CG RWAI capability for National Special Security Events (NSSE), Special Events Homeland Security (SEHS), NASA Space Shuttle Launches, National Capitol Region (NCR) air defense and other events as directed or requested. Coordinate with CG-7 for the selection and procurement for mission specific equipment. Manage the NCR RWAI SCG.
- k. Provide periodic updates to DHS SCG USCG 001 (PWCS), PWCS security classification guide (SCG) and advise ACO in his role as an Original Classification Authority: original classification of documents, development of other SCGs, security challenges, declassification, SSI and FOUO. Review Joint Publications that impact Security and Defense operations.
- l. Serve as CG-3 lead in the development of Maritime Security (PWCS) policy manual. Ensure extensive outreach to DHS, CBP, CG-2, and CG-5 counterparts in support of the Coast Guard Strategy.

**MARITIME SECURITY (COUNTERTERRORISM) DIVISION  
(CG-5323)**

1. Under the direction and supervision of the Chief, Office of Counterterrorism and Defense Operations, the Chief, Maritime Security (Counterterrorism) Division shall:
  - a. Serve as the Coast Guard lead for policy, doctrine, and requirements to accomplish advanced tactical Maritime Homeland Security (MHLS), Counter-Terrorism (CT) operations and Maritime Security Special Missions, incorporating responses to terrorist incidents, to include response to incidents involving Weapons of Mass Destruction (WMD).
  - b. Serve as the program manager for Homeland Security Tactical Law Enforcement and Counterterrorism operations. This staff is the policy, doctrine, and requirements manager for the Coast Guard CT and Tactical Law Enforcement operations to include program oversight of Maritime Safety and Security Teams (MSSTs); the; Maritime Security Response Team (MSRT); Tactical Law Enforcement Detachments (TACLETs) / Law Enforcement Detachments (LEDETs); specialized Chemical, Biological, Radiological, Nuclear and High-Yield Explosive (CBRNE) detection, triage, and response that supports CT missions; Focal Point and CT Special Access programs; and the Time Sensitive Planning and Crisis Response Survey Program.
  - c. Develop and maintain requirements, policy, and tactical doctrine for cutters and boats supporting Coast Guard Homeland Security, Tactical LE, and CT operations
  - d. Serve as the Coast Guard Program coordinator for all Tactical Law Enforcement, CT, Focal Point, and CT Special Access Programs.
  - e. Serve as the Coast Guard point of contact with other government agencies (OGA'S) on operational policy regarding Tactical Law Enforcement, CT and CT /WMD operations.
  - f. Serve as the Coast Guard point of contact for the Assistant Secretary of Defense for Special Operations and Low Intensity Conflict (ASD-SO/LIC), Assistant Secretary of Defense for Homeland Defense (ASD-HD), the Department of Justice (FBI HRT/CIRG/SWAT), the United States Special Operations Command (USSOCOM), and the Joint Special Operations Command (JSOC).
  - g. Develop, standardize, and maintain Advanced Tactical Law Enforcement (LE) and CT policy, doctrine, and requirements for specialized boarding, insertion, CBNRE, close quarters combat, precision engagement, and other skills

required in the execution of the Coast Guard CT, Tactical LE, and Homeland Security missions.

- h. Develop, in conjunction with appropriate ACO and CG-1 staffs the policy, plans, and operations training and personnel protocols necessary to fully integrate the Coast Guard Homeland Security Tactical LE and CT operations into Service, Department and interagency response plans.
- i. Serve as the Coast Guard point of contact for the National Security Council and Homeland Security Council (NSC / HSC) staffs on the integration of Coast Guard operational assets into National CT response plans.
- j. Serve as the USCG point of contact and future concepts lead on the development of Irregular Warfare (IW) and Stability (STAB) Operations doctrine and force structure. Specifically development of the IW and STAB Operations Joint Operations Concepts (JOCs) focused on USCG future force structure and budgets.
- k. Coordinate with CG-7 for the selection and procurement of mission specific tactical equipment.
- l. Serve as Program Manager of the Underwater Port Security (UPSec) Program. Oversee USCG participation in joint efforts with inter and intra-agency partners to protect the nation from underwater threats. Assess and improve the Coast Guard's capability to meet operational requirements associated with underwater threats to the port environment. Propose solutions to and make recommendations for expanded mission capability. Develop and recommend policy to support USCG Underwater Port Security (UPSEC) operations. Manage the UPSec program, coordinating all aspects of standardization, training, equipage, deployment, logistics and maintenance. Create and manage an UPSec program budget and related RPs.
- m. Serve as Program Manager for the Radiation Detection Program. Develop requirements (assessment, capability, training, equipment and architecture) which address Coast Guard strategic objectives and mission requirements. Act as primary POC for DHS Domestic Nuclear Detection Office (DNDO).
- n. Serve as CG-5 lead in the development of policy to respond to pandemic influenza or communicable disease events. Liaise with CG-1, DHS and HHS (CDC) in the creation of US Government response to the aforementioned events.

