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COMDTINST 16601.28
29 April 2008

COMMANDANT INSTRUCTION 16601.28

Subj: AREA MARITIME SECURITY PLAN DEVELOPMENT PROCESS

- Ref:
- (a) Maritime Transportation Security Act 2002 (MTSA)
 - (b) 33 CFR § 103
 - (c) Security and Accountability For Every Port (SAFE Port) Act of 2006
 - (d) Strategy to Enhance International Supply Chain Security, July 2007
 - (e) CBP/USCG Joint Protocols for the Expeditious Resumption of Trade
 - (f) Recovery of the Marine Transportation System for Resumption of Commerce, COMDTINST 16000.28
 - (g) Guidelines for Development of Area Maritime Security Committees and Area Maritime Security Plans Required For U.S. Ports, COMDTPUB P16700.4, NVIC 09-02 (series)
 - (h) National Maritime Transportation Security Plan (NMTSP)
 - (i) National Response Plan December 2004 (NRP)
 - (j) U.S. Coast Guard Strategy for Maritime Safety, Security and Stewardship, January 19, 2007
 - (k) COMDT (CG-544) Policy Letter 01-08, Annual Report of Area Maritime Security Committee Activities.

1. PURPOSE. This Instruction assigns roles and responsibilities within the Coast Guard and provides policy and doctrine to the operational commanders for the development, maintenance, approval, and exercising of Area Maritime Security Plans (AMSP).

2. ACTION.

- a. Area, district, and sector commanders, commanders of maintenance and logistics commands, commander deployable operations group, commanding officers of

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headquarters units, assistant commandants for directorates, Judge Advocate General, and special staff offices at Headquarters shall ensure the provisions of this instruction are followed. Internet release is authorized.

3. DIRECTIVES AFFECTED. None.

4. BACKGROUND.

- a. Area Maritime Security Plans (AMSP) have been established pursuant to reference (a). Content requirements for AMSPs were established by reference (b), and expanded by reference (c) to include a Salvage Response Plan (SRP).
- b. Reference (d) identified AMSPs as a principle means for supporting resumption of commerce at the port level following a transportation disruption. Reference (e) established and reference (f) implements national-level protocols for resumption of trade with associated port-level supporting requirements.
- c. Reference (g) provides non-directive guidance to the Coast Guard officials designated as Federal Maritime Security Coordinators (FMSC) and to the advisory Area Maritime Security Committees (AMSC) for development of AMSPs.

5. DISCUSSION.

- a. Commandant's Intent. The Coast Guard will sponsor and support continuing engagement with port community stakeholders to develop, test, and when necessary, implement joint efforts for responding to and mitigating the effects of maritime transportation security incidents (TSI) or threats thereof. AMSPs will provide a primary means for tactical coordination of joint measures and procedures for TSI prevention, protection, security response, and facilitation of Marine Transportation System (MTS) recovery in support of references (h) through (j). AMSPs should be all-maritime transportation-disruption compatible insofar as practicable.
- b. This Instruction establishes responsibilities for implementing reference (g) and for the regular review, amendment and approval of the AMSPs. It provides policy and doctrine to the Operational Commanders for the coordination, review and approval of port level AMSPs.

6. RESPONSIBILITIES.

- a. Commandant (CG-53). CG-532 shall be responsible for all policy and doctrine governing the AMSPs and associated exercise requirements including annual reviews of AMSP policy and doctrine, and coordination of a comprehensive reassessment at least once every five years.
- b. Commandant (CG-54). CG-5441 shall be responsible for the policy and doctrine governing the oversight of all AMSCs.

c. Area Commanders.

- (1) Direct and oversee implementation of reference (g) by all applicable subordinate units and fulfill duties of AMS Plan Approving Authority pursuant to reference (b).
- (2) Coordinate, administer and establish a timeline for the development, maintenance, exercise and evaluation, and approval for each AMSP in accordance with references (a) (b) and (g).
- (3) Ensure that each AMSP undergoes a formal review and update at least once every five years, basing the five year cycle on each AMSP's original approval date. The AMS Plan Approving Authority has the discretion to stagger the review and approval sequence within the five year cycle.
- (4) Provide an electronic copy of each approved AMSP to the Commandant (CG-532).
- (5) Ensure that each AMSP undergoes annual AMSC review.
- (6) Identify, assess, and coordinate plan development issues, best practices, lessons learned, and other issues with Commandant (CG-532) on a continuing basis.

d. District Commanders.

- (1) Implement reference (g) within their Districts, serving as the AMS Plan Reviewing Authority pursuant to reference (b).
- (2) Oversee AMSP development, maintenance, review, and exercise process within the District according to the schedule and implementing directions published by the Plan Approving Authority.
- (3) Ensure that AMSPs within the District have been posted in HOMEPORT.

e. COTP.

- (1) Perform the duties of FMSC pursuant to reference (b).
- (2) Implement reference (g) within their COTP Zones, consistent with its non-directive status relative to external stakeholders.
- (3) Develop, maintain and test AMSPs following provisions of reference (g) and according to the schedule and implementing directions published by the AMS Plan Approving Authority.
- (4) Ensure that each AMSC within each COTP's area of operations conducts a detailed review of their specific AMSP at least once every five years, taking into account the results of port risk assessments, changes in port infrastructure and critical port operations, and lessons learned from any actual security events or exercises.
- (5) Ensure that the AMSC evaluates their specific AMSP at least annually for adequacy, feasibility, consistency, completeness and to identify gaps in security. Make

immediate changes to the AMSP when necessary, observing notification and review procedures promulgated by the Plan Approving Authority.

(6) Maintain a current version of each AMSP on HOMEPORT, providing access to the appropriate Commandant, Area and District staff elements. The specific Area and District staff elements shall be identified by the Plan Approving and Plan Reviewing Authorities.

7. ENVIRONMENTAL ASPECT AND IMPACT CONSIDERATIONS. Environmental considerations were examined in the development of this Instruction and have been determined to be not applicable.

8. FORMS/REPORTS.

a. Forms. None.

b. Reports. Area Commanders shall provide Commandant (CG-532) and (CG-544) with progress updates for the Districts and COTPs/FMSCs under their control, as follows:

(1) Report the status of AMSP development and annual AMSP exercises as part of the Annual Report of Area Maritime Security Committee Activities report required by reference (k). Include the following items in the report:

(a) Identification of the status of AMSP reviews, updates and approvals.

(b) Reason for non-approvals.

(c) Anticipated date for completion of approvals.

(2) Report the status of AMS Exercises according to requirements and schedules specified by Commandant (CG-535).

BRIAN M. SALERNO /s/
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Assistant Commandant for Marine Safety,
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