

FLEETING AREA SECURITY ASSESSMENT CHECKLIST

Completed by: _____

Fleeting Area: _____

Operator: _____ ID # _____ Date: _____

River & Mile Marker: _____ LDB RDB

Street Address: _____ Point of Contact: _____

_____ Alternate Contact: _____

Town or City: _____ Phone Number: _____

State & Zip: _____ 24-hour Phone Number: _____

E-mail: _____ Fax number: _____

USCG Sub Zone: Louisville Cincinnati Evansville/Owensboro

Maximum number of barges allowed in fleet: _____

Barges in Fleet: Bulk Oil Bulk Chem LHG Non-Regulated Other _____

Frequency of Red Flags: _____

Total # of employees: _____ # of employees per shift: _____

Shift hours: _____

Maximum number of visitors or contractors per day: _____

Hours of Operation: _____
[i.e. (8, 0900-1700) or (12, 0600-1800)]

Days per week: _____
[i.e. (5, Mon-Fri) or (6, Mon-Sat)]

Seasonal Schedule: _____
(i.e. closed from Sep-Mar or Year Round)

| | | | |
|----|---|-------------|-------------|
| 1. | Does the fleeing area have a current written security/contingency plan? | Y | N |
| 2. | Are response agency numbers (other than 911) available and up to date? | Y | N |
| 3. | Does the fleeing area have it's own secure perimeter? If No , Go to 6 If Yes , what kind: (check all that apply) <input type="checkbox"/> Fence <input type="checkbox"/> Moat <input type="checkbox"/> Wall <input type="checkbox"/> Other _____ | Y | N |
| 4. | Fence characteristics: Height: _____ Type: _____ (Chain link, electrified, etc) Does the fence have barbed wire? If Yes , what type (check all that apply): <input type="checkbox"/> 45-degree outriggers. <input type="checkbox"/> 45-degree inboard and outboard outriggers. <input type="checkbox"/> Vertical outriggers. If No , does fence have concertina (razor) wire? | Y | N |
| 5. | Wall, characteristics: Material (earth, stone, concrete, etc): _____ Thickness (in inches): _____ Height (in feet): _____ | | |
| 6. | Is there perimeter lighting? If Yes , Is the entire perimeter lighted? If No , What areas are not lighted? _____ | Y Y | N N |
| 7. | Is there a gate(s) in the perimeter? If Yes , is/are the gate(s) guarded/manned/remotely controlled or locked at all times? If No , at what time(s) is/are the gate(s) not guarded/manned/remotely controlled or locked? _____ (i.e. during normal working hours, 2300-0600, etc) | Y Y Y | N N N |

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| 8. | <p>Are there utility/sewer, air or water intakes or outfalls, or other means of entry through the perimeter that are greater than 10-inches in diameter?</p> <p>If Yes,</p> <p>a.) How many of the openings are secured? _____ Describe how opening(s) are secured. (I.e. bars, screens, etc) _____</p> <p>b.) How many of the openings are not secured? _____ and why? _____</p> | Y | N |
| 9. | <p>Are vehicles allowed w/in the secure perimeter of the fleeting area?</p> <p>If Yes,</p> <p>a). Is vehicle entry controlled? If Yes, how? (check all that apply) <input type="checkbox"/> Decals <input type="checkbox"/> Passes <input type="checkbox"/> Temporary passes <input type="checkbox"/> Tags <input type="checkbox"/> Remote access controls <input type="checkbox"/> Other _____</p> <p>b). Once inside the perimeter, is vehicle movement controlled? If Yes, how? (check all that apply) <input type="checkbox"/> Jersey Barriers (concrete blocks) <input type="checkbox"/> Designated Routes <input type="checkbox"/> Designated Parking Areas <input type="checkbox"/> Posted No-Parking Zones <input type="checkbox"/> Other _____</p> | Y | N |
| 10. | <p>Is there a barrier between the entry point and barges in the fleet? If Yes, what kind of barrier(s) is/are used? (check all that apply) <input type="checkbox"/> Concrete Barricades <input type="checkbox"/> Saw Horse Barricades <input type="checkbox"/> Buildings <input type="checkbox"/> Trees/shrubs <input type="checkbox"/> Berm <input type="checkbox"/> Other _____</p> | Y | N |
| 11. | <p>Is there a system in use that controls employee access to the fleeting area?</p> <p>If Yes, what type (check all that apply): <input type="checkbox"/> Personnel Recognition <input type="checkbox"/> Card Reader <input type="checkbox"/> Access List <input type="checkbox"/> Passes or ID Badges <input type="checkbox"/> Retinal Eye Scan <input type="checkbox"/> Other _____</p> | Y | N |

| | | | |
|-----|---|---|---|
| 12. | <p>Are visitors allowed access to the fleeting area?</p> <p>If Yes, a). Is there a system in use that controls visitor access to the fleeting area?</p> <p>If No, go to 18 If Yes, what type (check all that apply): ____ Personnel Recognition ____ Card Reader ____ Access List ____ Passes or ID Badges ____ Visitor Escort ____ Other _____</p> | Y | N |
| 13. | Describe any other physical security measures in use or in place that have not been asked above: _____ | | |
| 14. | <p>Are there security personnel for the fleeting area?</p> <p>If Yes, a). How many security personnel are there? (mark # of personnel next to each category that applies) ____ 24 Hours per day/7 days per week/365 days per year ____ Only during normal business hours/days ____ Only after hours b). What types of patrols are used? (check all that apply) ____ Vehicle Patrols ____ Fixed Posts (ex: gate guard) ____ Walking Patrols ____ Other _____</p> <p>c). Are security personnel uniformed? If Yes, How many? ____</p> <p>d). Are security personnel armed? If Yes, How many? ____</p> | Y | N |
| 15. | <p>Is there a communications system in use by security personnel?</p> <p>If Yes, describe system(s) in use (check all that apply): ____ Flares ____ Radios ____ Telephone ____ Duress Alarms ____ Cell Phone ____ Other _____</p> | Y | N |
| 16. | If radio communications are used, do security personnel have 2 or more dedicated radio frequencies? <input type="checkbox"/> N/A radio coms not used. | Y | N |
| 17. | If radio communications are used, are duress codes (code-words for emergencies) used? <input type="checkbox"/> N/A radio coms not used. | Y | N |

| 18. | Are there external (local, state, federal) response agencies available If Yes , what local types of response agencies are available, and what are their response times? | Y | N | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-------------------------------|---|-------------------------------|--------------------|----------------------|--|--------------|--|--|-----------------|--|--|----------------------|--|--|------------------------|--|--|-------------------|--|--|----------------------------------|--|--|--------------|--|--|---------------------------------|--|--|---------------|--|--|----------------|--|--|--|--|--|--|
| | <table border="1"> <thead> <tr> <th data-bbox="233 264 548 342">(check all that apply)</th> <th data-bbox="548 264 932 342">Type Agency</th> <th data-bbox="932 264 1159 342">Response Time</th> </tr> </thead> <tbody> <tr> <td></td> <td>State Police</td> <td></td> </tr> <tr> <td></td> <td>Fire Department</td> <td></td> </tr> <tr> <td></td> <td>Volunteer Fire Dept.</td> <td></td> </tr> <tr> <td></td> <td>County Law Enforcement</td> <td></td> </tr> <tr> <td></td> <td>Local Police Dept</td> <td></td> </tr> <tr> <td></td> <td>Federal Law Enforcement Agencies</td> <td></td> </tr> <tr> <td></td> <td>HAZMAT Teams</td> <td></td> </tr> <tr> <td></td> <td>Bomb Squad/Bomb Detection Units</td> <td></td> </tr> <tr> <td></td> <td>EMS/Ambulance</td> <td></td> </tr> <tr> <td></td> <td>Trauma Centers</td> <td></td> </tr> <tr> <td></td> <td>Others (Burn Centers, environmental response assets, EPA, DNR)</td> <td></td> </tr> </tbody> </table> | (check all that apply) | Type Agency | Response Time | | State Police | | | Fire Department | | | Volunteer Fire Dept. | | | County Law Enforcement | | | Local Police Dept | | | Federal Law Enforcement Agencies | | | HAZMAT Teams | | | Bomb Squad/Bomb Detection Units | | | EMS/Ambulance | | | Trauma Centers | | | Others (Burn Centers, environmental response assets, EPA, DNR) | | | |
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| | Volunteer Fire Dept. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | Others (Burn Centers, environmental response assets, EPA, DNR) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 19. | Does the facility have internal response forces? If Yes , what type(s) (check all that apply) <input type="checkbox"/> Fire Fighting <input type="checkbox"/> HAZMAT Teams <input type="checkbox"/> Emergency Medical Technicians <input type="checkbox"/> Others (nurses, doctors, etc) _____ | Y | N | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 20. | Is an Intrusion Detection System (IDS) in use at the fleeing area ? If Yes , describe the IDS in use: <input type="checkbox"/> Motion Detection <input type="checkbox"/> Alarms <input type="checkbox"/> Other _____ | Y | N | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 21. | Describe any other security related equipment in place at the fleeing area such as portable speed bumps, traffic stars, road spikes, inspection mirrors, etc) _____ _____ _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |