

USCG UNINSPECTED PASSENGER VESSEL SAFETY EXAMINATION BOOKLET GUIDELINES

This booklet is to be used to record a voluntary examination of an Uninspected Passenger Vessel. It provides a summary list of Coast Guard requirements to examiners and owners/operators of an Uninspected Passenger Vessel. This booklet should be used in conjunction with the regulations or other aids developed by the Coast Guard to assist in understanding of the regulations. This form must be used with the Requirements For Uninspected Passenger Vessels (Atlantic Area Instruction 16710.1).

Examiners should leave this form with the vessel when completed.

PRIVACY ACT STATEMENT VOLUNTARY DOCKSIDE EXAMINATIONS UNINSPECTED PASSENGER VESSELS

PRIVACY ACT STATEMENT: Required by Public law 93-579

AUTHORITY: 46 USC 4101, USC 4102, 46 USC 4103, 46 USC 4105, 46 USC 4106, 46 USC 6101, 46 USC 6102, 46 USC 6103, 46 USC 7101, 46 USC 7102, 46 USC and 14 USC 89

PRINCIPAL PURPOSE (S): To document the Voluntary Dockside Examiner's report, enhance Passenger Vessel safety and promote public awareness and education. Information may be retained on file indefinitely.

ROUTINE USE (S): This information is to be used for uniform Coast Guard reporting and administration of voluntary Dockside Examination data. It will be used to record the number of vessels and level of compliance with Coast Guard regulations.

MANDATORY OR VOLUNTARY DISCLOSURE: Providing any information during the course of a voluntary dockside examination is voluntary. Failure to provide information necessary to ensure compliance with applicable regulation may prevent issuance of the safety decal.

Providing a vessel document/certificate of number by the operator of a vessel is mandatory. Failure to provide vessel documentation/ registration may prevent issuance of the safety decal.

USCG UNINSPECTED PASSENGER VESSEL SAFETY EXAMINATION RESULTS

Vessel Name _____ Official No. _____ Length _____ Yr Built _____
 Home Port _____ Net Tons _____ Gross Tons _____ Max POB _____
 Propulsion: SAIL I/B GAS O/B GAS DIESEL HP _____ Hull: ST AL FG WD* Prior Decal: Y N
(circle one) (circle one) (circle one)
 Vsl Type: CHARTER-FISHING AIRBOAT DIVING PARA-SAIL GENERAL PASSENGER OTHER
(circle one)
 Route: INLAND UNLIMITED Doc/Reg Endorsement: COMMERCIAL-state COASTWISE FISHERIES
(circle one) (circle one)
 * ST= Steel, AL= Aluminum, FG= Fiberglass, WD= Wood

Owner Name _____ CG Lic. Issue Date _____
 Address _____ License Endorsement _____
 Address _____ Phone _____

Operator Name _____ CG Lic. Issue Date _____
 Address _____ License Endorsement _____
 Address _____ Phone _____

Typical U/W Crew ("X"): Operator___ Mate___ Deckhand___ Other___ Total Crew Number ___

Last 4100 Boarding Date _____ Deficiencies Issued _____

CG Examiner's Name _____ Unit _____
 Exam Location _____ Hours: Exam _____ Travel _____ Training _____

As a result of the examination of this vessel, the following deficiencies were noted that prevented the issuance of the Uninspected Passenger Vessel Safety Exam decal:

When these items have been corrected, please call to schedule a follow-up examination to receive the Uninspected Passenger Vessel Safety Exam decal. This letter should be kept onboard the vessel.

Congratulations! Your vessel is in full compliance with the U. S. Coast Guard's Uninspected Passenger Vessel Safety regulations for the operations described above. As evidence of this, Uninspected Passenger Vessel decal number _____ has been affixed to your vessel. You are urged to maintain the high standards required to receive this decal, and have this vessel re-examined annually. If this vessel is subsequently sold, the decal should be removed.

 Examiner Date Vessel Representative

USCG UNINSPECTED PASSENGER VESSEL SAFETY EXAMINATION RESULTS

Vessel Name _____ Official No. _____ Length _____ Yr Built _____
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 Propulsion: SAIL I/B GAS O/B GAS DIESEL HP Hull: ST AL FG WD* Prior Decal: Y N
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Owner Name _____ CG Lic. Issue Date _____
 Address _____ License Endorsement _____
 Address _____ Phone _____

Operator Name _____ CG Lic. Issue Date _____
 Address _____ License Endorsement _____
 Address _____ Phone _____

Typical U/W Crew ("X"): Operator ___ Mate ___ Deckhand ___ Other ___ Total Crew Number ___

Last 4100 Boarding Date _____ Deficiencies Issued _____

 CG Examiner's Name _____ Unit _____
 Exam Location _____ Hours: Exam _____ Travel _____ Training _____

As a result of the examination of this vessel, the following deficiencies were noted that prevented the issuance of the Uninspected Passenger Vessel Safety Exam decal:

Examiner should retain this checklist with copy of exam result.

Congratulations! Your vessel is in full compliance with the U. S. Coast Guard's Uninspected Passenger Vessel Safety regulations for the operations described above. As evidence of this, Uninspected Passenger Vessel decal number _____ has been affixed to your vessel. You are urged to maintain the high standards required to receive this decal, and have this vessel re-examined annually. If this vessel is subsequently sold, the decal should be removed.

Examiner _____

Date _____

Vessel Representative _____

REQUIREMENTS FOR UNINSPECTED PASSENGER VESSELS

REQUIREMENT	FIELD GUIDE PAGE	SOURCE	SAT	UN-SAT	N/A
PERSONNEL LICENSING					
Proper license in operator's possession: Copy posted; endorsed for proper route.	9	46 CFR 15.605/.905			
Licensed Operator for second watch on trips longer than 12 hours	10	46 USC 8104(b)			
DOCUMENTATION: VSLs AT LEAST 5 NET TONS					
Document with official number: Onboard and valid	11	46 CFR 67.7/.313/.319			
Document with official number: Endorsed for coastwise trade	11	46 CFR 67.19			
Official number: Permanently affixed and visible on interior structure	11	46 CFR 67.121			
Name and hailing port: Displayed in correct locations on vessel	12	46 CFR 67.123(a)			
DOCUMENTATION: VSLs LESS THAN 5 NET TONS					
State numbering certificate: On board (if not documented)	12	33 CFR 173.21			
State numbers: Permanently & correctly displayed on port and stbd. bow	12	33 CFR 173.27			
DRUG AND ALCOHOL TESTING - IT IS RECOMMENDED THAT THE DRUG AND ALCOHOL AUDIT FORM BE USED DURING ALL UPV EXAMS					
Vsl or company participating in random testing program	13	46 CFR 16.230(b)			
Pre-employment drug tests conducted on all crewmembers	13	46 CFR 16.210			
Alcohol Testing equipment on board for all crewmembers	14	46 CFR 4.06			
Chemical test records kept on file for 1 or 5 years	16	46 CFR 16.260			
Chemical Testing History Check: For all crewmembers hired after 8/1/01	16	49 CFR 40.25			
MIS Report: Copy on file, sent to COMDT for previous year	17	46 CFR 16.500			
MARINE CASUALTY REPORTING					
Operator aware of reporting requirements (CG-2692)	18	46 CFR 4.01/4.05			
COMMUNICATIONS					
VHF-FM radio: With ch. 22A (UPVs of 20 meters or more)	20	33 CFR 26.03(a)(1)			
FCC ship/station license: Includes all tx gear, e.g. EPIRB (UPVs 20 mtrs or more)	20	47 CFR 80.13 & .25			
Radio operator's permit: Valid	20	47 CFR 80.163			
CHARTS AND NAUTICAL PUBLICATIONS					
Charts of operating area, Coast Pilot, Light List, Tide Tables, Current Tables	21	46 CFR 26.03-4			

Date of exam _____

Vessel Name _____

Examiner should retain this checklist with copy of exam result (page 3).

REQUIREMENTS FOR UNINSPECTED PASSENGER VESSELS (CONTINUED)

REQUIREMENT	FIELD GUIDE PAGE	SOURCE	SAT	UN-SAT	N/A
NAVIGATION LIGHTS AND SOUND SIGNALS					
Proper navigation lights operational: Mast, side, and stern.	22	COLREGS Rule 23			
Sound producing device operational: Bell (8" or 12" dia.) vsls 12 meters or more	23	COLREGS Rule 33			
LIFESAIVING EQUIPMENT					
Personal flotation devices: Type I or V; retro tape, light as applicable	27	46 CFR 25 -5(c)			
Ring buoy: Onboard, vsls 26 ft. or more	28	46 CFR 25.25-5(d)			
Visual Distress Signals: Onboard, not expired	28	33 CFR 175.130			
FIRE PREVENTION AND SUPPRESSION					
Fire extinguishing equipment: Sufficient number and type, "full"	31	46 CFR 25.30			
Ventilation ducts: gasoline engines	33	46 CFR 25-40			
Ventilation blowers: gasoline engines built after 1980	33	33 CFR 183.601			
Heating, Lighting, and Cooking Systems: Authorized fuel only, catch pans installed	35	46 CFR 25.45-1			
Cooking systems that use LPG or CNG: Compliance standards met	36	46 CFR 25.45-2			
Backfire flame control: gasoline engines	37	46 CFR 25.35-1			
SAFETY INSTRUCTIONS AND ORIENTATION					
Safety Orientation: Announcements are made prior to journey	39	46 CFR 26.03.1			
Passenger Counts: Conducted for each day of operation	39	46 USC 3502			
Emergency Instructions: Check-off list posted conspicuous area	39	46 CFR 26.03-2			
MARINE SANITATION DEVICES					
Correct MSD for vsl's length: Type I (only UPVs under 65 ft), Type II, or Type III	41	33 CFR 159.3/7			
Overboard discharge valve: If fitted, physically secured within 3 nm from shore	41	33 CFR 159.7(b)/(c)			
Identification/instruction placards: Type I and II only	42	33 CFR 159.55/59			
OIL POLLUTION PREVENTION					
Oil pollution placard: 5" x 8" posted at bilge control, vsls 26 ft. or more	43	33 CFR 155.450			
Bilge slops retention: ability to contain oily water on board; oily-water separator	43	33 CFR 155.330/350			

Date of exam _____

Vessel Name _____

Examiner should retain this checklist with copy of exam result (page 3).