

Use of the MTSA Facility Compliance Guide

The Safe Port Act was signed by the President in October 2006 as part of ongoing efforts to develop countermeasures for traditional areas of vulnerability in the maritime domain. Among other things, this act modifies the provisions found in 46 USC 70103 by providing specific requirements for Coast Guard facility inspection performance. The SAFE Port Act requires that the Coast Guard “verify the effectiveness of each facility security plan periodically, but not less than two times per year, at least one of which shall be an inspection of the facility that is conducted without notice to the facility”.

To implement this requirement, the Coast Guard will conduct, within each 12 month period, a minimum of: (1) one announced annual MTSA Compliance Examination for each facility; (2) at least one unannounced Facility Security Spot Check for each facility; and, (3) where the Facility Security Spot Check or deficiency history warrants, an unannounced MTSA Compliance Examination.

At the Captain of the Port’s (COTP) discretion, an unannounced Facility Security Spot Check may be expanded into a full, unannounced MTSA Compliance Examination.

Coast Guard units are encouraged to conduct multiple Facility Security Spot Checks in accordance with the Maritime Security Risk Analysis Model (MSRAM) for facilities in their Area of Responsibility (AOR).

This guide is designed to assist Coast Guard inspectors in conducting field compliance inspections, to include Facility Security Spot Checks, of FSPs associated with domestic U.S. facilities engaged in the transportation of cargo and passengers by water. This guide is composed of a compliance checklist to assist the inspector in ensuring that key components of the MTSA regulations are verified.

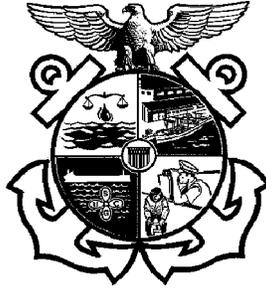
This guide will also assist the Facility Security Officer and auditors [33 CFR 105.415 (b)] in ongoing self-assessments of the facility security programs. There are four key steps that the Coast Guard inspector must follow when conducting a compliance inspection:

- 1) **Ensure** the completeness and adequacy of the Facility Security Assessment (FSA) and the Facility Vulnerability and Security Measures Summary (CG-6025);
- 2) **Ensure** the approved FSP/ASP adequately addresses the performance-based criteria as outlined in 33 CFR 105;
- 3) **Ensure** that the measures in place adequately mitigate the vulnerabilities summarized on Form CG-6025; and
- 4) **Ensure** the facility complies with the FSP.

MTSA regulations do not mandate specific equipment or procedures, but call for performance-based criteria to ensure the security of the facility. While this guide is designed to assist the Coast Guard facility inspector, it cannot be used alone to verify that the facility has adequate security measures. The review of the FSA and FSP requires interaction with the facility owner, operator, designated security officers, and all personnel with related duties aboard the facility.

Pre-inspection Preparation	Inspection	Post-inspection Items
<ul style="list-style-type: none"> • Review FSA Report, Form CG-6025 and FSP • Review MISLE records • Review deficiency history • Review CG Activity History <p><i>For Announced Inspections:</i></p> <ul style="list-style-type: none"> • Schedule inspection with FSO and provide FSO with MTSA Facility Compliance Guide (Enclosure 7 of NVIC 03-03) with instructions for FSO to complete prior to Coast Guard inspection <p><i>For Unannounced Facility Security Spot Checks:</i></p> <ul style="list-style-type: none"> • Select the areas to be spot-checked and so indicate on the MTSA Facility Compliance Guide. 	<ul style="list-style-type: none"> • Verify FSA • Verify Form CG-6025 • Verify FSP implementation <p><i>For Announced Inspections:</i></p> <ul style="list-style-type: none"> • Complete and review the MTSA Facility Compliance Guide with the FSO <p><i>For Unannounced Facility Security Spot Checks:</i></p> <ul style="list-style-type: none"> • For unannounced (and full MTSA compliance exams), the inspector(s) shall select a time during the inspection, to confirm the availability of the FSO by contacting the FSO and advising him/her that an unannounced inspection is underway 	<ul style="list-style-type: none"> • Complete MISLE <i>MTSA Compliance Exam</i> activity case • Determine whether amendments to the FSP are required • Initiate appropriate actions to ensure timely correction of deficiencies

Compliance inspections may address all or pre-selected areas of the MTSA regulations, and shall be done through observation of the current security procedures in place for each MARSEC Level; interviewing facility personnel regarding security duties and procedures; verifying on-site presence and validity of required security documents; and proper operation of security equipment. **This booklet is intended only as a guide to general MTSA requirements. Specific requirements will be contained in the FSP and implementing procedures.**



United States Coast Guard

MTSA FACILITY COMPLIANCE GUIDE

Name of Facility/Location:	Facility Type:
Facility ID Number:	MISLE Activity Number:
Inspection Type – Full or Spot Check:	Areas Inspected: (ex. G-14, N-28-30 or All)
Date(s) Conducted:	
Facility Security Officer Name:	Date & Time FSO Contacted:
Facility Inspectors:	
1.	2.
3.	4.

Guidance for completing the *MTSA Facility Compliance Guide (checklist)* –

Coast Guard facility inspectors and facility security officers (FSOs) shall complete the checklist by verifying and, when applicable, demonstrating each item contained therein. Each inspected item contained in the guide (checklist) must be notated as one of the following:

Sat – Item satisfactorily meets requirements contained in the guide and referenced regulations;

N/O – Item was Not Observed during this inspection;

N/A – Item is Not Applicable to this facility or inspection; or

Fail – Item was found to be unsatisfactory and therefore failed inspection.

Sensitive Security Information (When Completed)

A. Compliance Documentation <i>33 CFR 105.120</i>		SAT	N/O	N/A	FAIL
1.	Approved Facility Security Plan (FSP) or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	1.1 Review the Facility Security Assessment and CG-6025 1.2 Review copy of the FSP 1.3 Review Annual Audit and audit letter				
2.	Facility Security Plan Approval Letter	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Alternative Security Program , with letter signed by facility owner/operator	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	3.1 Review the Facility Security Assessment and CG-6025 3.2 Review copy of the ASP 3.3 Review Annual Audit and audit letter				

B. Non-Compliance <i>33 CFR 105.125</i>		SAT	N/O	N/A	FAIL
4.	Conditions existing (if any):				
4.1	1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.2	2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Conditions met.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	COTP notified of non-compliance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

C. Waivers & Equivalentents <i>33 CFR 105.130 & 33 CFR 105.135</i>		SAT	N/O	N/A	FAIL
7.	Approval letter for waiver from Commandant G-_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	Approval letter for equivalent from Commandant G-_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

D. Maritime Security (MARSEC) Directives <i>33 CFR 105.145</i>		SAT	N/O	N/A	FAIL
9.	Incorporated into security plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*****Sensitive Security Information (When Completed)*****

E. Facility Security Officer Knowledge and Training <i>33 CFR 105.120</i>			SAT	N/O	N/A	FAIL
10.	Name of FSO:					
11.	FSO Contact Information:					
11.1	Primary phone number:	()				
11.2	Secondary phone number:	()				
12.	Is FSO familiar with FSP and relevant portions of the regulations? FSO must have general knowledge through training or equivalent job experience in the following:					
12.1	Facility security organization		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.2	Vessel and facility security measures to be implemented at the different MARSEC levels		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.3	Familiarity with security equipment and systems and their operational limitations		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.4	Familiarity with methods of conducting audits, inspections, control, and monitoring techniques		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	FSO must have knowledge and receive training in the following, as appropriate:					
12.5	Risk assessment methodology		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.6	Methods of facility security surveys and inspections		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.7	Instruction techniques for security training and education, including security measures and procedures		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.8	Handling (as well as access to and distribution of) sensitive security information and security-related communications		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.9	Current security threats and patterns		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.10	Recognizing and detecting dangerous substances and devices		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.11	Recognizing characteristics and behavioral patterns of persons who are likely to threaten security		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.12	Techniques used to circumvent security measures		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.13	Conducting physical searches and non-intrusive inspections		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.14	Conducting security drills and exercises, including exercises with vessels		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.15	Assessing security drills and exercises		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.16	Implementing and maintaining TWIC		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Sensitive Security Information (When Completed)

F. Facility Personnel With Security Duties <i>33 CFR 105.210</i>		SAT	N/O	N/A	FAIL
13.	Verify that personnel with security duties are familiar with the FSP and relevant portions of the regulations. These personnel must have general knowledge through training or equivalent job experience in the following:				
13.1	Current security threats and patterns	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.2	Testing, calibration, operation, and maintenance of security equipment and systems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.3	Security-related communications (including the handling of SSI)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.4	Methods of physical screening of persons	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.5	Knowledge of emergency procedures and contingency plans	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.6	Techniques used to circumvent security systems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.7	Recognition of characteristics and behavioral patterns of persons who are likely to threaten security	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.8	Recognition and detection of dangerous substances and devices	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.9	Inspection, control, and monitoring techniques	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.10	The meaning and the consequential requirements of the different MARSEC levels	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13.11	Familiarity with all relevant aspects of the TWIC program and how to carry them out	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

G. Facility Personnel Without Security Duties <i>33 CFR 105.210 & 105.215</i>		SAT	N/O	N/A	FAIL
14.	Verify that all other personnel are familiar with FSP and relevant portions of the regulations. These personnel must have general knowledge through training or equivalent job experience in the following:				
14.1	Relevant provisions of the FSP & meaning of different MARSEC levels	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14.2	Recognition & detection of dangerous substances and devices	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14.3	Recognition of characteristics and behavioral patterns of persons who are likely to threaten security	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14.4	Techniques used to circumvent security measures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14.4	Familiarity with all relevant aspects of the TWIC program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*****Sensitive Security Information (When Completed)*****

H. Drill & Exercise Requirements <i>33 CFR 105.220</i>		SAT	N/O	N/A	FAIL
15.	Review Drill Log to ensure drills are conducted at least every 3 months				
15.1	Date/Type of last drill: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16.	Review Exercise Log to ensure exercises are conducted each calendar year, no more than 18 months between exercises	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16.1	Date/Type of last exercise: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I. Facility Recordkeeping Requirements <i>33 CFR 105.225</i>		SAT	N/O	N/A	FAIL
17.	Review records to ensure all of the following are recorded:				
17.1	Breaches of security	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17.2	Changes in Maritime Security (MARSEC) Levels	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17.3	Maintenance, calibration, and testing of security equipment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17.4	Security threats	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17.5	Training records for facility personnel with security duties ONLY (those personnel covered under 33 CFR 105.210).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	17.5.1 Date of each training session	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	17.5.2 Duration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	17.5.3 Description of training	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	17.5.4 List of attendees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17.6	Verify that all records are maintained for at least two (2) years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17.7	Verify that the FSP/ASP undergoes an annual audit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	17.7.1 Check the document(s) signed by the FSO certifying the annual audit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	17.7.2 Verify that past audit findings are addressed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18.	Verify that the FSP is being protected from unauthorized disclosure in accordance with SSI procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

J. MARSEC Level Coordination and Implementation <i>33 CFR 105.230</i>		SAT	N/O	N/A	FAIL
19.	Ensure facility is operating at proper MARSEC level in effect for the Port.				
19.1	Review procedures outlined in FSP for current MARSEC level	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20.	Review the procedures for changes in MARSEC levels.				
20.1	MARSEC Level 1 to 2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

J. MARSEC Level Coordination and Implementation <i>33 CFR 105.230</i>		SAT	N/O	N/A	FAIL
20.2	MARSEC Level 2 to 3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Sensitive Security Information (When Completed)

J. MARSEC Level Coordination and Implementation, Continued <i>33 CFR 105.230</i>		SAT	N/O	N/A	FAIL
21.	12-hour implementation timeframe and reporting to COTP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

K. Communications <i>33 CFR 105.235</i>		SAT	N/O	N/A	FAIL
22.	Verify that primary and backup communications systems and procedures allow effective and continuous communications between the facility security personnel, vessels interfacing w/facility, the COTP and authorities w/security responsibilities.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
23.	Verify that each active facility access point provides a means of contacting police, security control, or an emergency operations center.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

L. Declaration of Security <i>33 CFR 105.225 and 33 CFR 105.245</i>		SAT	N/O	N/A	FAIL
24.	Verify that DoS's are maintained for 90 days.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25.	When a continuing DoS is used, the FSP/ASP must ensure that:				
25.2	The effective period at MARSEC Level 1 does not exceed 90 days	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25.3	The effective period at MARSEC Level 2 does not exceed 30 days	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

M. Security Systems and Equipment Maintenance <i>33 CFR 105.250</i>		SAT	N/O	N/A	FAIL
26.	Verify that security systems and equipment are in good working order and inspected, tested, calibrated, and maintained according to manufacturers' recommendations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
27.	When a continuing DoS is used, the FSP/ASP must ensure that:				
27.1	Verify procedures for identifying and responding to security and equipment failures or malfunctions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*****Sensitive Security Information (When Completed)*****

N. Security Measures for Access Control <i>33 CFR 105.255 and 105.257</i>		SAT	N/O	N/A	FAIL
28.	Verify procedures at MARSEC Level 1 to ensure that security measures relating to access control are implemented AS OUTLINED IN THE FSP , these procedures include those that:				
28.1	Demonstrate that the TWIC program is fully implemented and maintained	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28.2	Screen persons, baggage, personal effects, and vehicles, for dangerous substances and devices at the rate specified in the approved FSP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28.3	Conspicuously post signs that describe security measures currently in effect and clearly state that entering the facility is deemed valid consent to screening or inspection, and that failure to consent or submit to screening or inspection will result in denial or revocation of authorization to enter	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28.4	Check the identification of any person seeking to enter the facility, including vessel passengers and crew, facility employees, vendors, visitors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28.5	Identify access points that must be secured or attended to deter unauthorized access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28.6	Screen by hand or device, such as x-ray, all unaccompanied baggage prior to loading onto a vessel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28.7	Secure unaccompanied baggage after screening in a designated restricted area and maintain security control during transfers between facility and vessel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29.	Review procedures for MARSEC Level 2 to ensure that security measures relating to access control can be implemented AS OUTLINED IN THE FSP .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30.	Review procedures for MARSEC Level 3 to ensure that security measures relating to access control can be implemented AS OUTLINED IN THE FSP .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

O. Security Measures for Newly-Hired Employees <i>33 CFR 105.257</i>		SAT	N/O	N/A	FAIL
31	Verify that all personnel are familiar with and are adhering to all TWIC requirements:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.1	Have all TWIC rules been implemented at this facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.2	Are all TWIC rules being followed at this facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Sensitive Security Information (When Completed)

P. Security Measures for Restricted Areas <i>33 CFR 105.260</i>		SAT	N/O	N/A	FAIL
32.	Verify procedures to ensure that security measures relating to restricted area access control are implemented AS OUTLINED IN THE FSP. These procedures include those that:				
31.1	Identify which facility members are authorized access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.2	Identify when other personnel are authorized access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.3	Define the extent of any restricted area	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.4	Define the times when access restrictions apply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.5	Clearly mark all restricted areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.6	Control entry, parking, loading and unloading of vehicles	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.7	Control the movement and storage of cargo and vessel stores	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31.8	Control unaccompanied baggage or personnel effects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
33.	Verify procedures at MARSEC Level 1 to ensure that security measures relating to restricted areas are implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
34.	Review procedures for MARSEC Level 2 to ensure that security measures relating to restricted areas can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
35.	Review procedures for MARSEC Level 3 to ensure that security measures relating to restricted areas can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*****Sensitive Security Information (When Completed)*****

Q. Security Measures for Handling Cargo <i>33 CFR 105.265</i>		SAT	N/O	N/A	FAIL
36.	Verify procedures at MARSEC Level 1 to ensure that security measures relating to handling cargo are implemented AS OUTLINED IN THE FSP. These procedures include those that:				
36.1	Routinely check cargo, cargo transport units, and cargo storage areas within the facility prior to, and during, cargo handling ops to deter tampering	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
36.2	Check that cargo, containers, or other cargo transport units entering the facility match the delivery note or equivalent cargo documentation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
36.3	Screen vehicles	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
36.4	Check seals and other methods used to prevent tampering upon entering the facility and upon storage within the facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
37.	Verify procedures at MARSEC Level 1 to ensure that security measures relating to handling cargo are implemented AS OUTLINED IN THE FSP. These procedures include those that:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
38.	Review procedures for MARSEC Level 2 to ensure that security measures relating to handling of cargo can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
39.	Review procedures for MARSEC Level 3 to ensure that security measures relating to handling of cargo can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

R. Security Measures for Delivery of Vessel Stores and Bunkers <i>33 CFR 105.270</i>		SAT	N/O	N/A	FAIL
40.	Verify procedures at MARSEC Level 1 to ensure that security measures relating to delivery of vessel stores and bunkers are implemented AS OUTLINED IN THE FSP, these procedures must include those that:				
38.1	Screen stores at rate specified in FSP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
38.2	Require advance notice of deliveries.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
38.3	Screening delivery vehicles at rate specified in FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
41.	Review procedures for MARSEC Level 2 to ensure that security measures relating to delivery of vessel stores and bunkers can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
42.	Review procedures for MARSEC Level 3 to ensure that security measures relating to delivery of vessel stores and bunkers can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Sensitive Security Information (When Completed)

S. Security Measures for Monitoring <i>33 CFR 105.275</i>		SAT	N/O	N/A	FAIL
43.	Verify procedures at MARSEC Level 1 to ensure that security measures relating to monitoring are implemented AS OUTLINED IN THE FSP. These procedures include those that:				
43.1	Monitor the facility area, including shore and waterside access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
43.2	Are capable to monitoring access points, barriers and restricted areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
43.3	Are capable of monitoring access and movement adjacent to vessels using the facility, including augmentation of lighting utilized by vessels	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
44.	Review procedures for MARSEC Level 2 to ensure that security measures relating to monitoring can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
45.	Review procedures for MARSEC Level 3 to ensure that security measures relating to monitoring can be implemented AS OUTLINED IN THE FSP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

T. Security Incident Procedures <i>33 CFR 105.280</i>		SAT	N/O	N/A	FAIL
46.	Verify procedures for responding to security threats or breaches of security and maintaining critical facility and vessel-to-facility interface.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
47.	Review procedures for reporting security incidents.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

U. Passenger and Ferry Facilities Only <i>33 CFR 105.285</i>		SAT	N/O	N/A	FAIL
48.	Verify areas are established to segregate unchecked persons and effects from checked persons and effects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
49.	Verify vehicles are being screened IAW the FSP/ASP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
50.	Verify security personnel control access to restricted areas.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
51.	Verify sufficient security personnel to monitor all persons within the area.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*****Sensitive Security Information (When Completed)*****

V. Cruise Ship Terminals Only <i>33 CFR 105.290</i>		SAT	N/O	N/A	FAIL
52.	Verify procedures to screen all persons, baggage, and all personal effects for dangerous substances and devices.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
53.	Verify procedures for checking personnel identification, including the applicable TWIC rules.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
54.	Inspect designated holding, waiting, or embarkation areas to segregate screened persons and their effects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
55.	Verify procedures to provide additional security personnel to designated holding areas and deny passengers access to the restricted areas.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

W. Certain Dangerous Cargo (CDC) Facilities Only <i>33 CFR 105.295</i>		SAT	N/O	N/A	FAIL
56.	Verify procedures to escort all visitors, contractors, vendors, and other non-facility employees.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
57.	Verify procedures for controlling parking, loading and unloading of vehicles.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
58.	Verify procedures for security personnel to record or report their presence at key points during security patrols.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
59.	Verify procedures to search key areas prior to vessel arrivals.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
60.	Inspect alternate or independent power source.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

X. Barge Fleeting Facilities Only* <i>33 CFR 105.296</i>		SAT	N/O	N/A	FAIL
61.	Verify designated restricted areas within the barge fleeting facility.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
62.	Inspect current list of vessels and cargoes in the designated restricted area.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
63.	Verify that there is at least one tug available to service the facility for every 100 barges.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
64.	Verify that access is controlled to the barges tied within the fleeting area.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>*Barge fleeting facilities are exempt from Security Measures for Handling Cargo and Security Measures for Delivery of Vessel Stores and Bunkers</i>					