

PREAWARD SURVEY OF PROSPECTIVE CONTRACTOR FINANCIAL CAPABILITY	SERIAL NO. (For surveying activity use)	FORM APPROVED OMB NO. 9000-0011
	PROSPECTIVE CONTRACTOR	

Public reporting burden for this collection of information is estimated to average 24 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the FAR Secretariat (VRS), Office of Federal Acquisition and Regulatory Policy, GSA, Washington, D.C. 20405; and the Office of Management and Budget, Paperwork Reduction Project (9000-0011), Washington, D.C. 20503.

SECTION I - RECOMMENDATION

1. RECOMMEND

a. COMPLETE AWARD

b. PARTIAL AWARD (Quantity: _____)

c. NO AWARD

2. TOTAL OFFERED PRICE

3. NARRATIVE (Cite those sections of the report which substantiate the recommendation. Give any other backup information in this space or on an additional sheet, if necessary)

IF CONTINUATION SHEETS
ATTACHED - MARK HERE

4. SURVEY MADE BY	a. SIGNATURE AND OFFICE (Include typed or printed name)	b. TELEPHONE NO. (Include area code)	c. DATE SIGNED
5. SURVEY REVIEWING OFFICIAL	a. SIGNATURE AND OFFICE (Include typed or printed name)	b. TELEPHONE NO. (Include area code)	c. DATE REVIEWED

SECTION II - GENERAL

1. TYPE OF COMPANY				3. NAME AND ADDRESS OF:
<input type="checkbox"/> CORPORATION	<input type="checkbox"/> PARTNERSHIP			a. PARENT CO.
<input type="checkbox"/> SUBSIDIARY	<input type="checkbox"/> DIVISION			b. SUBSIDIARIES
<input type="checkbox"/> PROPRIETORSHIP		<input type="checkbox"/> OTHER (Specify)		
2. YEAR ESTABLISHED				

SECTION III - BALANCE SHEET/PROFIT LOSS STATEMENT

PART A - LATEST BALANCE SHEET		PART B - LATEST PROFIT AND LOSS STATEMENT		
1. DATE	2. FILED WITH	1. CURRENT PERIOD		2. FILED WITH
		a. FROM	b. TO	
3. FINANCIAL POSITION				
a. Cash	\$	3. NET SALES	a. CURRENT PERIOD	\$
b. Accounts Receivable			b. First prior fiscal year	
c. Inventory			c. Second prior fiscal year	
d. Other Current Assets		4. NET PROFITS BEFORE TAXES	a. CURRENT PERIOD	\$
e. Total Current Assets			b. First prior fiscal year	
f. Fixed Assets			c. Second prior fiscal year	
g. Current Liabilities		PART C - OTHER		
h. Long Term Liabilities		1. FISCAL YEAR ENDS (Date):		
i. Total Liabilities		a. THROUGH (Date) b. BY (Signature)		
j. Net Worth		2. BALANCE SHEETS AND PROFIT AND LOSS STATEMENTS HAVE BEEN CERTIFIED		
4. WORKING CAPITAL (Current Assets less Current Liabilities)				
5. RATIOS				
a. CURRENT ASSETS TO CURRENT LIABILITIES	b. ACID TESTS (Cash, temporary investments held in lieu of cash and current receivables to current liabilities)	c. TOTAL LIABILITIES TO NET WORTH	3. OTHER PERTINENT DATA	

SECTION IV - PROSPECTIVE CONTRACTOR'S FINANCIAL ARRANGEMENTS

Mark "X" in appropriate column	YES	NO	4. INDEPENDENT ANALYSIS OF FINANCIAL POSITION SUPPORTS THE STATEMENTS SHOWN IN ITEMS 1, 2, AND 3 <input type="checkbox"/> YES <input type="checkbox"/> NO (If "NO", explain)		
1. USE OF OWN RESOURCES					
2. USE OF BANK CREDITS					
3. OTHER (Specify)					

SECTION V - GOVERNMENT FINANCIAL AID

1. TO BE REQUESTED IN CONNECTION WITH PERFORMANCE OF PROPOSED CONTRACT		2. EXPLAIN "YES" ANSWERS TO ITEMS 1a, b, AND c					
Mark "X" in appropriate column	YES	NO					
a. PROGRESS PAYMENT(S)							
b. GUARANTEED LOAN							
c. ADVANCE PAYMENTS							
3. FINANCIAL AID CURRENTLY OBTAINED FROM THE GOVERNMENT							

a. PROSPECTIVE CONTRACTOR RECEIVES GOVERNMENT FINANCING AT PRESENT <input type="checkbox"/> YES <input type="checkbox"/> NO	Complete items below only if item a., is marked "YES".				
	b. IS LIQUIDATION CURRENT? <input type="checkbox"/> YES <input type="checkbox"/> NO	c. AMOUNT OF UNLIQUIDATED PROGRESS PAYMENTS OUTSTANDING \$	DOLLAR AMOUNTS	(a) AUTHORIZED	(b) IN USE
		a. Guaranteed loans	\$	\$	
		b. Advance payments	\$	\$	
4. LIST THE GOVERNMENT AGENCIES INVOLVED			5. SHOW THE APPLICABLE CONTRACT NOS.		

SECTION VI - BUSINESS AND FINANCIAL REPUTATION

1. COMMENTS OF PERSPECTIVE CONTRACTOR'S BANK

2. COMMENTS OF TRADE CREDITORS

3. COMMENTS AND REPORTS OF COMMERCIAL FINANCIAL AND CREDIT ORGANIZATIONS (Such as, Dun & Bradstreet, Standard and Poor, etc.)

4. MOST RECENT CREDIT RATING	►	a. DATE	a. BY
5. DOES PRICE APPEAR UNREALISTICALLY LOW? <input type="checkbox"/> YES <input type="checkbox"/> NO (if YES, Explain in Section I NARRATIVE)			
6. DESCRIBE ANY OUTSTANDING LIENS OR JUDGEMENTS			

SECTION VII - SALES (000'S) FOR NEXT SIX QUARTERS

CATEGORY	1	2	3	4	5	6	TOTAL
1. CURRENT CONTRACT SALES (Backlog)	\$	\$	\$	\$	\$	\$	\$
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
2. ANTICIPATED ADDITIONAL SALES							
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
3. TOTALS							