

**FOREIGN PASSENGER VESSEL  
ANNUAL EXAMINATION BOOK  
(FOR VESSELS BUILT PRIOR TO 25 MAY 80)**

<b>Name of Vessel</b>		<b>Flag</b> No Change	
<b>IMO Number</b>		<b>Case Number</b>	
<b>Date Completed</b>	<b>Priority</b>	<b>Points</b>	
<b>Location</b>			
<b>Vessel Built in Compliance with SOLAS: 60 74 74/78 NA</b>			
<b>Senior Marine Inspectors / Port State Control Officers</b>			
1. _____		3. _____	
2. _____		4. _____	



Notes: \_\_\_\_\_

## Section 1: Administrative Items

### IMO Applicability Dates:

Reference	Date
SOLAS 1948	19 NOV 52
SOLAS 1960	26 MAY 65
SOLAS 1974	25 MAY 80
1978 Protocol to SOLAS 1974	01 MAY 81
1981 Amendments (II-1 & II-2)	01 SEP 84
1983 Amendments (III)	01 JUL 86
<i>Various additional amendments to SOLAS</i>	
MARPOL 73/78 Annex I	02 OCT 83
MARPOL 73/78 Annex II	06 APR 87
MARPOL 73/78 Annex III	01 JUL 92
MARPOL 73/78 Annex V	31 DEC 88
COLREGS 1972	15 JUL 77
<i>Various additional amendments to COLREGS</i>	
Load Line 1966	21 JUL 68
STCW 1978	28 APR 84
1991 Amendments	01 DEC 92
1994 Amendments	01 JAN 96
1995 Amendments	01 FEB 97

**Requiring Corrective Measures Prior to Entry**

**Deficiencies discovered prior to a vessel's entry into port present such a grave risk to the port or the environment that the OCMI/COTP may wish to prevent the vessel from entering port until the deficiencies are corrected.**



**Issue COTP order if the vessel is within the territorial sea.**

Examples include the following:

- Leaking tanks.
- Carrying dangerous cargoes with expired documents.
- Carrying incompatible cargoes.
- Invalid ISM certificates.
- COFR not on board.

**Vessel Information:**

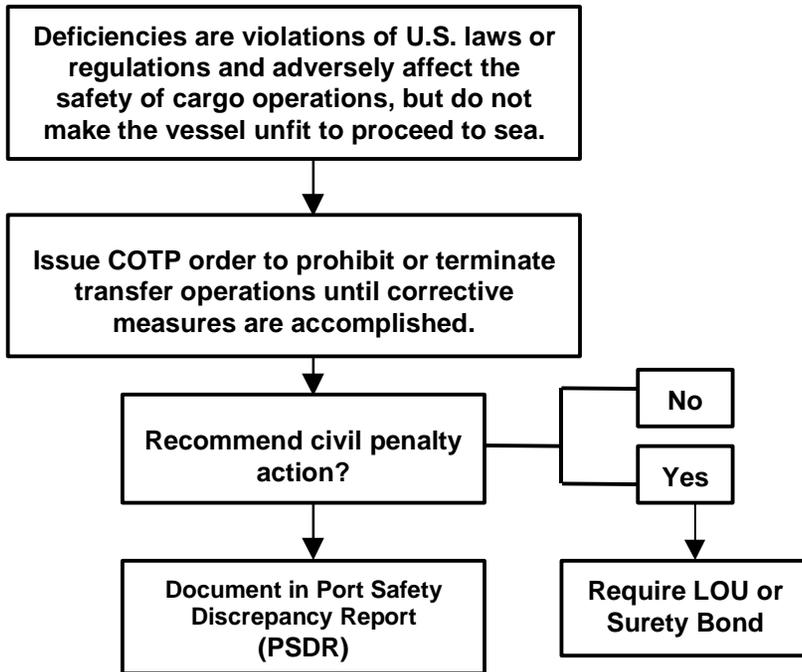
Classification Society	
ISM Issuer: Same as above?	
Yes	No If not the same, which Recognized Organization? _____
<i><b>NOTE:</b> The period of validity for ISM documents should correspond to the following list. If they do NOT, ISM documents should be further investigated.</i>	
<input type="checkbox"/> 5 years = Full term (SMS and DOC)	<input type="checkbox"/> 12 months = Interim (DOC)
<input type="checkbox"/> 6 months = Interim (SMC)	<input type="checkbox"/> 5 months = Short term (SMC)
Last Drydocking Date	Next Drydocking Date
Location of Last Drydocking	
Date of Last Class Survey	
Outstanding conditions of class or non-conformities	
Last Port of Call	Next Port of Call
Method of Construction I                    II                    III	Conversions / Modifications
Call Sign	No Change (VFID)
Gross Tons	No Change (VFMD)
Built Date (use delivery date)	No Change (VFCD)
Overall Length (in feet)	No Change (VFMD)

**Vessel Description:**

- |                        |             |
|------------------------|-------------|
| Passenger Vessel       | Ferry       |
| Ro-ro Passenger Vessel | Other _____ |

**Requiring Corrective Measures Prior to Cargo, Bunkering or Lightering Operations**

**(NO DETENTION)**



Examples include the following:

- Oil transfer procedures incomplete.
- Information on properties and hazards of cargoes not on board.
- High and low level alarms inoperative.

Name of Certificate	Issuing Agency	ID #	Port Issued	Issue Date	Exp. Date	Endors. Date
<b>International Tonnage (ITC)</b> No Change						
<b>Safety Management (SMC)</b> No Change						
<b>Document of Compliance (DOC)</b> No Change						

**Nonconforming Vessel.** Any vessel failing to comply with one or more applicable requirements of U.S. law or international conventions is a nonconforming vessel. A nonconforming vessel is not necessarily a substandard vessel unless the discrepancies endanger the vessel, persons on board, or present an unreasonable risk to the marine environment.

**Substandard Vessel.** In general, a vessel is regarded as substandard if the hull, machinery, or equipment, such as lifesaving, firefighting and pollution prevention, are substantially below the standards required by U.S. laws or international conventions, owing to:

- The absence of required principal equipment or arrangement;
- Gross noncompliance of equipment or arrangement with required specifications;
- Substantial deterioration of the vessel structure or its essential equipment;
- Noncompliance with applicable operational and/or manning standards; or
- Clear lack of appropriate certification, or demonstrated lack of competence on the part of the crew.

If these evident factors as a whole or individually endanger the vessel, persons on board, or present an unreasonable risk to the marine environment, the vessel should be regarded as a substandard vessel.

**Valid Certificates.** A certificate that has been issued directly by a contracting government or party to a convention, or on the behalf of the government or party by a recognized organization, and contains accurate and effective dates, meets the provisions of the relevant convention, and corresponds to the particulars of the vessel and its equipment.

- |                          |                                                                                                                                                                                                                                                                                   |                                                                                                            |
|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | Bridge log                                                                                                                                                                                                                                                                        | 33 CFR 164.25<br>STCW 95 I/14                                                                              |
|                          | <ul style="list-style-type: none"> <li>• Pre-arrival tests conducted</li> <li>• Casualties (navigation equipment and steering gear failures reported)</li> <li>• Steering gear drills</li> <li>• Emergency steering drills</li> </ul>                                             | 33 CFR 164.53                                                                                              |
| <input type="checkbox"/> | Exemptions to SOLAS certificates                                                                                                                                                                                                                                                  | SOLAS 74/78 I/4                                                                                            |
| <input type="checkbox"/> | Criterion numeral                                                                                                                                                                                                                                                                 | SOLAS 48 II/5<br>SOLAS 60 II/5                                                                             |
|                          | <ul style="list-style-type: none"> <li>• Determination</li> </ul>                                                                                                                                                                                                                 |                                                                                                            |
| <input type="checkbox"/> | Stability information                                                                                                                                                                                                                                                             | SOLAS 48 II/7<br>SOLAS 60 II/7<br>SOLAS 74/78 II-1/8<br>SOLAS 48 II/18<br>SOLAS 60 II/19<br>SOLAS 60 II/20 |
|                          | <ul style="list-style-type: none"> <li>• Damage stability information</li> <li>• Stability test information</li> <li>• Damage control plans</li> </ul>                                                                                                                            |                                                                                                            |
| <input type="checkbox"/> | Information on all persons aboard vessel                                                                                                                                                                                                                                          | SOLAS 74/78 III/24-2.1<br>SOLAS 74/78 III/24-2.2<br>SOLAS 74/78 III/24-2.3<br>SOLAS 74/78 III/24-2.4       |
|                          | <ul style="list-style-type: none"> <li>• List of "special needs" passengers</li> <li>• Identification record (name-gender-adult, child, infant)               <ul style="list-style-type: none"> <li>— Held ashore readily available for SAR organizations</li> </ul> </li> </ul> |                                                                                                            |
| <input type="checkbox"/> | Decision support system for emergency management                                                                                                                                                                                                                                  | SOLAS 74/78 III/24-4                                                                                       |
| <input type="checkbox"/> | Working language established and recorded                                                                                                                                                                                                                                         | SOLAS 74/78 V/13                                                                                           |
|                          | <ul style="list-style-type: none"> <li>• Language _____</li> <li>• Different from Flag State               <ul style="list-style-type: none"> <li>Yes</li> <li>No</li> </ul> </li> <li>• Posted plans include translation</li> </ul>                                              |                                                                                                            |

Notes: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_



- Oil transfer hose 33 CFR 155.800
  - Condition
  - Markings
  - Hose assembly requirements
  - Tests and inspections
- Oily water separator MARPOL Ax. I/16
  - 100 ppm and bilge monitor
  - 15 ppm and bilge alarm
- Sludge (oil residue) tank MARPOL Ax. I/17
- Marine sanitation device
  - Type (I, II, III) 33 CFR 159.7
  - Nameplate 33 CFR 159.55
  - Placard 33 CFR 155.59
  - Proper operation
  - Capacity satisfactory

Notes: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

- GMDSS SOLAS 74/78 IV/8  
SOLAS 74/78 IV/9  
SOLAS 74/78 IV/10  
SOLAS 74/78 IV/11
  - Additional radio equipment for area of operation
- GMDSS lifeboat radios (VHF) SOLAS 74/78 III/6.2
  - 3 if over 500 GT
  - Operable condition
- 9 GHz radar transponder (SART) SOLAS 74/78 III/6.2  
NVIC 9-93
  - Two required
  - Stowed so to be rapidly placed in survival craft, or stowed in survival craft
- Emergency source of power (radio) SOLAS 74/78 IV/13
  - Independent of ship's power system
  - 1 or 6 hour time duration
  - Battery system
  - Battery charger
- NAVTEX SOLAS 74/78 IV/7.1.4
- INMARSAT SOLAS 74/78 IV/7
- Radio installation SOLAS 74/78 IV/6.2
  - Safe installation
  - Independent lighting
  - Marked with call sign
- Signalling lamp SOLAS 74/78 V/11
- Lifesaving signals table SOLAS 74/78 V/16

**General Health and Safety:**

- Crew able to perform necessary safety and pollution prevention duties STCW 95 VI/1
  - Personal survival techniques
  - Firefighting emergencies
  - Elementary first aid

Notes: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

- Fireman's outfits
  - SCBA spare air cylinders (must be interchangeable) SOLAS 74/78 II-2/17.1.2.2
  - Proper number of outfits for passenger spaces SOLAS 74/78 II-2/17.3.1.1
  - 2 additional outfits per MVZ SOLAS 74/78 II-2/17.4
  - Properly stowed
- Fuel pump remote shutdown SOLAS 74 II-2/77
- Noncombustible cinematographic film SOLAS 74 II-2/78

**Machinery:**

- General condition of engine room / boiler room / machinery satisfactory SOLAS 48 II  
SOLAS 60 II
  - Tank tops, bilge wells, bilges clean
- Steering gear SOLAS 48 II/56  
SOLAS 60 II/ 29  
SOLAS 60 II/30
  - Main steering gear tested
  - Auxiliary steering gear tested
- Steering gear
  - Communications between bridge / steering gear room SOLAS 60 II/ 29
  - Indicators for electric motors SOLAS 60 II/30
- Communication navigation bridge / machinery space SOLAS 60 II/33
  - Engine-order telegraph
  - Sound powered phone, voice tube, etc.

**Electrical Systems:**

- Main generators SOLAS 48 II/21  
SOLAS 60 II/24
  - 2 required

Notes: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

- Muster lists and emergency instructions
  - Available for each person SOLAS 74/78 III/8
  - Posted in conspicuous places
  - Language understood by crew
  - Shows crew member duties SOLAS 74/78 III/53
  - Checked for accuracy
- Pilot ladders and hoists in good condition SOLAS 74/78 V/17

**Subdivision and Stability:**

- Stability logging SOLAS 74/78 II-1/8
  - Verified by master
- Draft markings SOLAS 74/78 II-1/8
  - Bow and stern
- Load line markings SOLAS 48 II/10  
SOLAS 60 II/11
  - Visible including C.1 line
- Bilge pumps SOLAS 48 II/17  
SOLAS 60 II/18
  - 3 required (4 if criterion numeral is 30 or more)
- Operation of watertight / weathertight doors
  - Required drills SOLAS 74/78 II-1/24
  - Inspections
  - Markings
  - Logged SOLAS 74/78 II-1/25
- Openings in watertight bulkheads SOLAS 48 II/12  
SOLAS 60 II/60
  - Pipes, cable penetrations properly sealed
- Openings in watertight bulkheads free of lead or other heat-sensitive materials SOLAS 60 II/13
- Ballasting fuel tanks SOLAS 60 II/8

Notes: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

- Smoke detection and alarm system fitted above ceilings SOLAS 74/78 II-2/41-2.3
  - In stairways and corridors (if ceilings are made of combustible material)
- Fire detection systems SOLAS 74 II-2/81  
SOLAS 74/78 II-2/41-2.1.2
  - Patrols
    - Proper training
    - Portable radios
  - Special crew alarm SOLAS 74/78 II-2/41-2.4.9
  - Public address system SOLAS 74/78 III/6.5
- ◇ Fire detection and extinction
  - Method II (automatic sprinkler and fire alarm) SOLAS 48 II/42
  - Method III (automatic fire alarm and fire detection system) SOLAS 48 II/50
- Fire detection and extinction
  - Method II (automatic sprinkler and fire alarm) SOLAS 60 II/51
  - Method III (automatic fire alarm and fire detection system) SOLAS 60 II/52
- Portable fire extinguishers SOLAS 74 II-2/81  
SOLAS 48 II/46  
SOLAS 48 II/50  
SOLAS 60 II/57  
SOLAS 60 II/64
  - Serviced
  - Spares
  - Location and number agree with fire control plan
- Fire pumps, fire mains, hydrants, and hoses SOLAS 74 II-2/80  
SOLAS 48 II/45  
SOLAS 48 II/50  
SOLAS 60 II/56  
SOLAS 60 II/64
  - Number of pumps required
  - Adequate pressure
  - Relief valves
  - Adequate number and position of hydrants
  - Fire hoses properly stored
    - Spanners
  - Water fog applicators SOLAS 74/78 II-2/41-2.1.3
    - 2 in each Category A machinery space
    - 1 for each pair for SCBA's
    - 3 for each special category space
  - Portable foam applicators SOLAS 74/78 II-2/41-2.1.4
    - 1 unit in each boiler room
    - 1 unit in each engine room
    - 1 unit for each special category space
  - Fire hose nozzles SOLAS 74/78 II-2/41-2.1.5
    - Jet / spray nozzles with a shutoff

Notes: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

- Lifebuoys
  - Number required SOLAS 60 III/34 & 37
  - Specifications SOLAS 60 III/21
  - Retro-reflective tape SOLAS 74/78 III/30
- Lifejackets
 

Adult \_\_\_\_\_ Children \_\_\_\_\_

  - Retro-reflective tape SOLAS 74/78 III/30
  - Lights SOLAS 74/78 III/21
  - Whistles SOLAS 74/78 III/32
  - Number of lifejackets rejected \_\_\_\_\_
- Immersion suits and thermal protective aids SOLAS 74/78 III/21
  - Number required
- Line-throwing apparatus SOLAS 60 III/23
  - Specification
- Distress signals SOLAS 60 III/24
  - 12 red rocket parachute flares

**Fire Protection:**

- Fire control plans SOLAS 74/78 II-2/20  
SOLAS 74/78 II-2/41-2.1.1
  - Properly displayed
  - Correct notations and information
  - Weathertight enclosure outside deckhouse
- Structure SOLAS 74 II-2/66  
SOLAS 74 II-2/70  
SOLAS 48 II/27  
SOLAS 60 II/36  
SOLAS 60 II/54
  - Decks, deckhouse, shell, bulkheads
  - Accommodation spaces
  - Methods I, II, III
- ◇ Construction details
  - Deck coverings SOLAS 48 II/29
  - Use of incombustible materials (except Method II) SOLAS 48 II/32

Notes: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_